1	Word count: 4,881
2	Abstract: 250
3	Methods: 2,271
4	Figures: 6
	Extended Data Figures: 10
5 6	Supplemental Tables: 12
7	
8	Uncovering and mitigating bias in large, automated MRI analyses of brain development
9	
10	Safia Elyounssi <sup>1,2*</sup> , Keiko Kunitoki <sup>1,2*</sup> , Jacqueline A. Clauss <sup>1,2</sup> , Eline Laurent <sup>1,2</sup> , Kristina
11	Kane <sup>1,2</sup> , Dylan E. Hughes <sup>1,2,3</sup> , Casey E. Hopkinson <sup>1,2</sup> , Oren Bazer <sup>1,2</sup> , Rachel Freed Sussman <sup>1,2</sup> ,
12	Alysa E. Doyle <sup>1,4</sup> , Hang Lee <sup>5</sup> , Brenden Tervo-Clemmens <sup>1</sup> , Hamdi Eryilmaz <sup>1,2</sup> , Randy L.
13	Gollub <sup>1,2</sup> , Deanna M. Barch <sup>6</sup> , Theodore D. Satterthwaite <sup>7,8,9</sup> , Kevin F. Dowling <sup>1,10</sup> , Joshua L.
14	Roffman <sup>1,2</sup>
15	*Equal authorship
16	
17	<sup>1</sup> Department of Psychiatry, Massachusetts General Hospital and Harvard Medical School
18	<sup>2</sup> Martinos Center for Biomedical Imaging, Massachusetts General Hospital
19 20	<sup>3</sup> Departments of Psychiatry & Biobehavioral Sciences, University of California, Los Angeles
20	<sup>4</sup> Center for Genomic Medicine, Massachusetts General Hospital
21	<sup>5</sup> Biostatistics Center, Massachusetts General Hospital and Harvard Medical School
22	<sup>6</sup> Department of Psychological and Brain Sciences, Washington University in St. Louis
23	<sup>7</sup> Department of Psychiatry, University of Pennsylvania Perelman School of Medicine
24	<sup>8</sup> Penn Lifespan and Neuroimaging Center, University of Pennsylvania Perelman School of
25 26	Medicine <sup>8</sup> Para CHOP Liferrar Brain Institute
26 27	<sup>8</sup> Penn-CHOP Lifespan Brain Institute
27 28	<sup>10</sup> Department of Psychiatry, University of Pittsburgh
28	Company and the growth any
29	Corresponding author:
30 21	Joshua L. Roffman MD, MMSc Massachusetta Comercial
31	Massachusetts General Hospital 149 13 <sup>th</sup> St, Room 2616
32 33	Charlestown, MA 02129
33 34	617-724-1920
34 35	jroffman@partners.org
36	Jronnan@partners.org
30 37	Presented in part at the Society for Biological Psychiatry 2022 Annual Meeting, New Orleans
38	and the Society for Neuroscience 2022 Annual Meeting, San Diego.
39	and the Society for Neuroscience 2022 Annual Weeting, San Diego.
40	Supported by NIH (R01MH124694, R01MH120402, T32MH112485), Harvard Medical School
41	Dupont Warren Fellowship, Louis V. Gerstner Scholar Award, MQ Foundation, and the Mass
42	General Hospital Early Brain Development Initiative. The ABCD Study is funded by NIDA,
43	NIAAA, and NCI, in partnership with the NICHD, NIMH, NIMHD, NINDS, and the NIH Office
44	of Behavioral and Social Sciences Research.
45	
46	No authors declare any potential conflicts of interest.
-	

# 47 <u>Abstract</u>

48

49 Large, population-based MRI studies of adolescents promise transformational insights 50 into neurodevelopment and mental illness risk <sup>1,2</sup>. However, MRI studies of youth are 51 especially susceptible to motion and other artifacts <sup>3,4</sup>. These artifacts may go undetected 52 by automated quality control (QC) methods that are preferred in high-throughput imaging 53 studies, <sup>5</sup> and can potentially introduce non-random noise into clinical association analyses. 54 Here we demonstrate bias in structural MRI analyses of children due to inclusion of lower 55 quality images, as identified through rigorous visual quality control of 11,263 T1 MRI 56 scans obtained at age 9-10 through the Adolescent Brain Cognitive Development (ABCD) 57 Study<sup>6</sup>. Compared to the best-rated images (44.9% of the sample), lower-quality images generally associated with decreased cortical thickness and increased cortical surface area 58 59 measures (Cohen's d 0.14-2.84). Variable image quality led to counterintuitive patterns in 60 analyses that associated structural MRI and clinical measures, as inclusion of lower-quality 61 scans altered apparent effect sizes in ways that increased risk for both false positives and 62 negatives. Ouality-related biases were partially mitigated by controlling for surface hole 63 number, an automated index of topological complexity that differentiated lower-quality scans with good specificity at Baseline (0.81-0.93) and in 1,000 Year 2 scans (0.88-1.00). 64 65 However, even among the highest-rated images, subtle topological errors occurred during 66 image preprocessing, and their correction through manual edits significantly and 67 reproducibly changed thickness measurements across much of the cortex (d 0.15-0.92). 68 These findings demonstrate that inadequate OC of youth structural MRI scans can 69 undermine advantages of large sample size to detect meaningful associations.

70

## 71 Introduction

72

73	Magnetic resonance imaging (MRI) is widely used in clinical neuroscience research to study
74	neuroanatomical variation in healthy individuals as well as those with neuropsychiatric disease <sup>7</sup> .
75	Structural (T1-weighted) MRI scans (sMRI) provide reliable, individual-level indices of cortical
76	thickness, surface area, and volume, and enable registration of other brain imaging data (such as
77	functional MRI and PET) to anatomical templates that facilitate group-level analyses <sup>8</sup> . In
78	accordance with neurodevelopmental models of mental illness, large-scale brain MRI studies of
79	children and adolescents offer potential to elaborate neural signatures of emergent
80	psychopathology <sup>1,2</sup> . Such insights could be harnessed in efforts to develop improved early
81	recognition and treatment, outcomes that may help ameliorate the current youth mental health
82	crisis <sup>9</sup> . As such, the US National Institutes of Health and other funding agencies have invested
83	heavily in longitudinal MRI studies of adolescent brain development, such as the ongoing ABCD
84	Study <sup>6</sup> .
85	
86	Recent work has underscored the need for thousands of participants in such clinical MRI

studies, as within-group variation is considerable and effect sizes for relationships between
psychopathology and MRI indices tend to be small<sup>2</sup>. Further, MRI scans of children and
adolescents are particularly susceptible to artifact due to participant motion within the scanner <sup>3,4</sup>.
An unanswered question concerns whether large sample size – e.g. in studies involving
thousands of participants – sufficiently compensates for errant sMRI measurements arising from
inclusion of poorer quality images. Alternatively, smaller studies have suggested the possibility

that visible motion artifact results not only in random noise but in bias <sup>3,4</sup>, which (again) may or
may not be sufficiently offset by inclusion of more participants.

95

96 A related question concerns the adequacy of automated quality control (QC) measures, applied during scan acquisition, processing, or analysis, to identify or adjust for poor quality 97 98 images in large sMRI studies of children. Notably, unlike for functional MRI, head motion is 99 less routinely quantified as part of sMRI analyses, and its effects on sMRI measurements have 100 been less well studied – although some prior work has associated induced or measured motion with bias in sMRI estimates <sup>3,10</sup>. Newer sMRI sequences, including those deployed on Siemens 101 102 and GE magnets in ABCD <sup>11,12</sup>, have incorporated real-time motion correction protocols that re-103 acquire data immediately after significant motion is detected. Whether this feature mitigates 104 artifact sufficiently well to prevent bias in large-scale studies remains uncertain. Image 105 preprocessing software can provide automated QC metrics, such as the overall "pass/fail" rating in the FreeSurfer processing stream.<sup>13</sup> This metric is used by ABCD in conjunction with raw 106 107 data screens and clinical (radiology) evaluations to provide an overall recommendation on 108 whether to include images in analyses. However, routine automated QC measures have shown 109 inconsistent sensitivity to detect artifact identified by manual (visual) QC ratings of sMRI scans 110 in youth<sup>5,14</sup>.

111

As such, a final consideration – one especially pertinent to large-scale studies such as ABCD, which is collecting 6 sets of MRI scans over 10 years from >10,000 youth participants – is the added value of manual QC of postprocessed sMRI scans, and of the even more time- and resource-intensive process of manual cortical edits<sup>15,16</sup>, to minimize artifact-related errors.

116	Depending on image quality, manual edits of a single scan can take a skilled technician as few as					
117	30 minutes to as long as several days to complete. While the utility of manual edits in					
118	identifying case-control differences in pediatric sMRI studies has been questioned <sup>17–19</sup> , their					
119	importance to accurately detecting subtle neurodevelopmental differences among youth is					
120	evident in other studies <sup>20,21</sup> .					
121						
122	Here we conducted in-depth, manual QC assessments of >12,000 sMRI images obtained at					
123	Baseline (age 9-10) and Year 2 follow-up (age 11-12) from ABCD study participants. We then					
124	characterized the impact of poorer-quality scans on the fidelity of sMRI measurements (cortical					
125	thickness, surface area, and volume) and the on reliability sMRI-clinical associations. Further, in					
126	light of efficiency considerations, we evaluated the sensitivity of automated QC to detect poorer-					
127	quality scans, and contrasted the effectiveness and reliability of several automated and manual					
128	error mitigation strategies that varied by labor intensity.					

# 130 <u>Results</u>

131

# 132 Manual quality control (MQC) ratings in Baseline scans

134	The ABCD study enrolled 11,875 participants, age 9 or 10 at Baseline, across 22 U.S. sites.						
135	Participant race and ethnicity mirrored those of the U.S., and enrollment was enriched for						
136	multiple births and siblings from multiple pregnancies <sup>22</sup> . Structural MRI (sMRI) scans were						
137	obtained from participants on 3T Siemens, Philips, or GE magnets as described in Methods and						
138	by Casey and colleagues. <sup>23</sup> Minimally processed T1 volumes were available from the NIMH						
139	Data Archive (NDA) for all but 160 participants. After removing those marked as requiring						
140	clinical consultation, T1 volumes for the remaining scans were downloaded from the NDA and						
141	pre-processed in FreeSurfer version 7.1. While several processing streams are available to						
142	process and analyze sMRI data, the present analyses used FreeSurfer software for two reasons:						
143	first, existing, tabulated region-of-interest sMRI analyses available through the NIMH Data						
144	Archive and widely used in published ABCD analyses were conducted wither FreeSurfer; and						
145	second, FreeSurfer offers manual cortical edit capabilities. Following training and calibration						
146	(Methods), a single research coordinator (S.E.) who was blind to subject-level information then						
147	viewed each MRI volume individually. This approach was chosen because it eliminated						
148	concerns over inter-rater reliability, which has previously been shown to be modest (~0.75) when						
149	including multiple tiers of sMRI QC, <sup>5</sup> but could alternatively be assessed for intra-rater						
150	reliability (e.g., drift in ratings over time) and for triangulation with automated QC measures.						
151	During manual review an additional 740 scans were removed from further consideration due to						
152	the presence of cysts $>1$ cm <sup>3</sup> , and 228 were omitted from the main analyses due to segmentation						

errors and related signal dropout that persisted after a second round of preprocessing (Figure 153 154 **1a**).

155

156	The remaining 10,295 T1 scans received manual quality control (MQC) ratings. Ratings were						
157	based on overall appearance of the entire T1 volumes, as follows: "1" (requiring minimal edits,						
158	n=4,630, 45.0%), "2" (requiring moderate edits, n=4,063, 39.5%), "3" (requiring substantial						
159	edits, n=1,383, 13.4%), or "4" (unusable, n=219, 2.1%) (Figure 1b,c). We have uploaded these						
160	MQC ratings to the NDA (see Data Availability). Demographic, clinical, and scanner						
161	characteristics of participants stratified by MQC group are described in Table S1a. Individuals						
162	with higher quality scans tended to be slightly older and female, also demonstrated less						
163	externalizing psychopathology and total symptoms on the Child Behavior Checklist (CBCL).						
164	Scan quality also differed by scanner manufacturer; notably, the mean MQC rating for images						
165	from Philips magnets (1.34, 95% CI 1.29-1.38), which were not subject to real-time motion						
166	correction, was more favorable than those for Siemens (1.71, 95% CI 1.69-1.73) and GE (1.96,						
167	95% CI 1.93-1.99), which did include this feature (p's<.0001, after controlling for age, gender,						
168	and psychopathology). MQC ratings were stable over the sequence of scan evaluations after						
169	controlling for each of these factors (see Extended Data Figure 1a, Table S2), and their						
170	distribution did not change in sensitivity analyses that included the 228 scans with segmentation						
171	errors (Extended Data Figure 2, Table S1b).						
172							
173	All scans had also received automated quality control ratings (pass/fail), available as part of						
174	the ABCD NDA. Of the 10,295 scans with MQC ratings, all but 325 were designated as						

175 recommended for use; these 325 fell disproportionately within higher MQC groups (comprising

176	0.4% of MQC=1 scans, 1.4% of MQC=2, 10.6% of MQC=3, and 48.9% of MQC=4) but this
177	designation missed numerous poorer-quality scans. Subsequent analyses including these 10,295
178	scans were all adjusted for participant age, gender, total intracranial volume, study site, and
179	scanner manufacturer; region-of-interest (ROI)-based analyses were further covaried by family
180	ID to control for effects of participant relatedness.
181	
182	Associations between MQC ratings and cortical structure, and comparison with surface hole
183	number (SHN)
184	
185	Automated measures of cortical thickness, surface area, and volume are commonly used to
186	identify case-control differences or as predictors of dimensional measures (e.g.,
187	psychopathology) in psychiatric neuroimaging research <sup>8</sup> . We next determined the extent to
188	which MQC ratings associate with variance in these measures, as determined by FreeSurfer.
189	MQC ratings associated linearly with reduced thickness across much of the cortical mantle
190	(Figure 2a), with increased cortical surface area in lateral/superior and reduced surface area in
191	medial/inferior regions (Figure 2b), and heterogeneous effects on cortical volume (Figure 2c).
192	Pairwise comparisons of best quality (MQC=1) versus lower quality (MQC=2, 3, and 4) images
193	demonstrated increasingly strong effects on each structural index as QC ratings worsened, with
194	moderate to strong effect sizes noted in numerous cortical regions (see also Table S3a, b, c for
195	effects of MQC rating differences in each of the 68 cortical ROI defined by the Desikan-Killiany
196	Atlas). For example, comparison of cortical thickness values between MQC=1 versus MQC=2,
197	3, and 4 yielded a total of 39, 55, and 61 ROI (of 68), respectively, with statistically significant
198	differences (FDR q <.05). Regions demonstrating stronger effects of poor quality control on

thickness included, but also extended beyond, those identified as showing similar effects in a
previous, smaller study of adolescent and adult participants (n=1,840) <sup>5</sup>, in consistent directions
(e.g., increased thickness in numerous lateral ROIs, decreased thickness in medial occipital and
posterior cingulate cortices). Subcortical volumes also differed significantly based on MQC
rating, with higher ratings generally associated with smaller volumes (**Table S4**).

204

We next compared the performance of an automated QC measure, the surface hole number 205 206 (SHN), to manual (MQC) ratings. SHN reflects the Euler number, which measures continuity of 207 tessellated images (e.g., those that contain continuous triangular structures, as do FreeSurfer-208 generated maps of the cortical surface, see Methods) based on the sum of the vertices and faces 209 subtracted by the number of faces. Higher SHN have predicted worse manual quality control 210 ratings in previous MRI studies and have been proposed as an automated quality control index 211 for use in high-throughput neuroimaging studies, outperforming other measures (such as signal-212 to-noise ratio and motion during functional MRI scans conducted during the same scan session) 213  $^{5,16}$ . We calculated SHN for each available Baseline and Year 2 scan using FreeSurfer 7.0 and 214 have uploaded the data to the NDA (see **Data Availability**). SHN increased in tandem with 215 MQC ratings (rho=0.59; mean SHN differed between all MQC level pairs,  $p \le 1.02E-121$ ), and 216 linear associations of SHN with differences in cortical thickness, surface area, and volume 217 (Figure 3a,b,c) closely resembled those of MQC (Figure 2). Distribution of SHN values among 218 MQC groups was stable over the temporal sequence of MQC evaluations (Extended Data 219 Figure 1b).

221	We then examined whether including SHN as an additional covariate mitigated effects of					
222	variable scan quality on sMRI indices, as defined by differences in measurements between					
223	MQC=1 and MQC=2, 3, and 4 respectively (Table S3d,e,f; Figure 3d,e,f). Depending on the					
224	specific comparison (MQC=1 vs. 2, 3, or 4), inclusion of SHN reduced the effect size (Cohen's					
225	d) of manual quality control-related differences in cortical thickness by 42 to 59%; reductions in					
226	effect size for cortical surface area ranged from 39 to 57%, and for cortical volume from 16 to					
227	62% (Table S5). Meaningful effects of SHN correction can also be demonstrated by comparing					
228	the number of ROIs that showed statistically significant (FDR, q<.05) effects of MQC ratings					
229	before versus after including SHN as a covariate. For example, among 39 ROIs exhibiting					
230	differences in cortical thickness between MQC=1 and MQC=2 before covarying for SHN, 17 fell					
231	out of significance after covarying for SHN, while 1 ROI became newly significant.					
232						
233	We then used SHN data in concert with MQC ratings to develop and assess the reliability of a					
234	tiered, automated sMRI QC rubric to classify the quality of individual scans. This rubic assigned					
235	scans to 4 levels akin to the MQC groups, but based exclusively on SHN-based thresholds, so					
236	that these ratings could be applied even in the absence of manual QC. Figure 3g displays the					
237	distribution of SHN among MQC groups. Using receiver operating characteristic (ROC) curve					
238	analyses, we derived 3 optimized SHN thresholds to isolate poorer-quality scans (Figure 3h).					
239	The most conservative threshold eliminated scans with MQC ratings of 2 or higher, based on an					
240	SHN cutoff of 29.5 (sensitivity=0.81; Figure 3i). The next threshold eliminated scans with					
241	MQC ratings of 3 or higher, based on a SHN cutoff of 36.5 (sensitivity=0.81; Figure 3j). The					

242 most liberal threshold eliminated scans with MQC ratings of 4, based on an SHN cutoff of 62.5

243 (sensitivity=0.93, Figure 3k).

2	Λ	Λ
2	-	-

These 3 thresholds defined 4 SHN groups (tiers A-D), that in turn associated with linear
effects on sMRI indices (Extended Data Figure 3). The linear effects of SHN tiers closely
approximated the linear effects of MQC groupings (Figure 2), as well as those of continuous
SHN values (Figure 3a,b,c). Still, MQC and SHN each accounted for distinct variance in scan
quality as seen in Extended Data Figure 4. In a sensitivity analysis, inclusion of scans with
FreeSurfer segmentation errors (n=228) did not substantially alter either the distribution of SHN
across MQC ratings or optimal boundaries between SHN tiers in ROC analyses (Table S6).
SHN tiers as predictors of MQC in Year 2 follow-up scans
Evaluation of Year 2 scans from ABCD enabled us to test the reliability of SHN tiers derived
from Baseline scans. A total of 6,941 minimally processed Year 2 T1 volumes were available
through the ABCD Data Archive, after removing those that did not meet inclusion criteria for
Baseline analysis; see Extended Data Figure 5. Following preprocessing in FreeSurfer 7.0 and
extraction of SHN, 1,000 sMRI volumes were semi-randomly selected such that they included
(1) a range of scan quality, operationalized by ensuring a mix of tiers A, B, C, and D; and (2) a
distribution of magnet types (Siemens, Philips, GE) that was equivalent to the analyzed Baseline
sample. Of note, Year 2 scans showed better overall quality than Baseline scans, with 83.9%
falling into SHN tier A (Extended Data Figure 6a; compare to Figure 3g, where 57.3% of
Baseline scans fell into tier A). Group characteristics of SHN tiers A to D in the Year 2 sample
are described in Table S7. One scan was discarded due to presence of a large cyst. Only 168

267	scans underwent MQC ratings by 2 trained and calibrated research coordinators (500 scans					
268	randomly disbursed to each of K.A.K. and E.L; see Methods), using the same method as					
269	Baseline MQC ratings.					
270						
271	Table S8 describes the performance of SHN tiers in predicting MQC ratings for the 999 Year					
272	2 scans. The SHN tiers effectively filtered out scans with higher MQC ratings, with sensitivity					
273	ranging from 0.87 (for differentiating scans rated 2 and higher from those rated 1) to 1.00 (for					
274	differentiating scans rated 4 from those rated lower). Extended Data Figure 6b shows the					
275	distribution of MQC ratings within each SHN tier. Extended Data Figure 7 indicates the effect					
276	of SHN tiers on sMRI indices across all 6,941 Year 2 scans (most of which had not received					
277	MQC ratings); comparison to Extended Data Figure 3 affirms that SHN tiers reproducibly					
278	tracked variance in scan quality, especially in regard to cortical thickness and surface area.					
279						
280	Scan quality and risk for error in applied sMRI analyses					
281						
282	sMRI measures are frequently explored for associations with clinical and developmental data.					
283	The ABCD Study provides an unprecedented opportunity in this regard, with multiple imaging					
284	and clinical measurements obtained within the same youth participants over a 10-year period.					
285	However, given the tendency of poorer quality images to bias sMRI measurements among youth,					
286	we next examined the extent to which unaccounted variance in scan quality might affect					
287	associations between MRI and clinical indices.					
288						

289	As a positive control, we first considered a well-established relationship between age and
290	cortical thickness. Most of the cortex is known to thin linearly during adolescence, as seen in
291	smaller but well quality-controlled samples <sup>24</sup> . As points of reference, we compared age-
292	thickness effects in the SHN-corrected, MQC=1 sample (n=4,617, "ground truth") to those in the
293	full, non-corrected sample (n=10,257, "full non-QC-adjusted sample"). Significant age-
294	thickness relationships were readily observed, even cross-sectionally between ages 9.0 and 10.9,
295	within the full non-QC-adjusted sample (Figure $4a$ ) – although note the considerably smaller
296	effect size of age on thickness compared to that of quality control ratings (Figure 2a). Despite
297	these smaller effects, age-thickness effects were sufficiently robust to be detected within the
298	smaller ground truth sample: among 68 cortical ROIs, significant (FDR q<.05) negative
299	associations were present in 59 regions, regardless of SHN adjustment (Table S9). Notably,
300	though, several of these ROI did not show significant age-thickness differences in the (larger)
301	full unadjusted sample – but then <i>regained</i> significance in the full sample after SHN adjustment.
302	As such, inclusion of SHN mitigated Type II error (i.e., false negatives) that would have
303	otherwise occurred in the full non-QC-adjusted sample, albeit for only a small number of
304	regions.

305

The risk of Type II error arising from non-quality-corrected images can also be appreciated in **Figure 4b**, which plots effect sizes for the age-thickness relationship across all 68 ROIs. To facilitate comparisons across MQC levels, ROIs were rank-ordered (left-to-right) by effect size among the 1-rated scans. Effect sizes generally diminished as poorer quality images were iteratively included (2s, then 3s, then 4s) in the analysis. These results echo a prior, smaller

analysis (n=1,598, mean age=15.0), wherein poorer quality scans associated with blunted effects
of age on cortical thickness <sup>5</sup>.

313

Next, we considered a more exploratory relationship between dimensional psychopathology and cortical volume. Several groups have reported inverse associations between CBCL scales and cortical volume, including using ABCD data <sup>25,26</sup>. In a recent study focused on genetic and neurodevelopmental underpinnings of psychopathology in ABCD <sup>27</sup>, among the broadband CBCL scales (total, internalizing, externalizing) we identified externalizing symptoms (CBCLext) as most strongly related to cortical volumes at Baseline, after taking into account both MQC ratings and SHN.

321

322 In the full, non-QC-adjusted sample, CBCLext scores showed a diffuse, inverse relationship 323 with volume across the cortical mantle (Figure 4c), although effect sizes were smaller than for 324 the age-thickness relationship (Figure 4a). Within this larger sample, 43 ROIs demonstrated 325 significant (FDR q <.05) relationships between CBCLext and volume (Figure 4d, Table S10). 326 However, stark differences emerged in comparison to the ground truth (MQC=1) sample, 327 wherein only 3 regions demonstrated significant CBCLext-volume relationships. Stepwise 328 analyses that gradually increased the stringency of QC suggested that this drop in the number of 329 significant ROIs reflected an interplay of QC and power considerations (Figure 5). While effect 330 size should not depend on sample size, unlike in the age-thickness analysis, inclusion of lower-331 quality images resulted in substantial inflation of CBCLext-volume effects, and accordingly 332 Type I errors (i.e., false positives). Numerous ROIs showed statistically significant CBCLext-333 volume relationships only when MQC=3 and 4 scans were included in the analysis – and, even

after correction with SHN, these regions demonstrated inflated effect sizes due to inclusion of
lower quality scans. Further, regions with smaller effect sizes in the ground truth sample were
more likely to show inflated effect sizes – and, hence Type I error – in the full, non-adjusted
sample (Figure 4d). This result was counterintuitive, given that large sample size is often
invoked to *reduce* risk of *Type II* error, through improved power to detect small but true effects.

340 Further complexity emerged among the 21 ROIs that showed significant CBCLext-volume 341 relationships after including only MQC  $\leq 2$  images. For some regions, such as left superior 342 temporal, left precentral, and bilateral postcentral, effect size remained relatively stable as 343 inclusion thresholds loosened (Figure 5c). This pattern suggests that effect sizes were not 344 inflated by artifact, and that failure to reach significance when using only MQC=1 scans 345 reflected a lack of statistical power (i.e., Type II error) – even with a sample size of >4,500. For 346 others, such as right middle temporal, bilateral insula, and bilateral superior frontal, effect size 347 increased substantially as the MQC inclusion threshold was relaxed to 2 (or higher), likely 348 reflecting artifact. For these regions, inclusion of even relatively good quality (but not the 349 highest quality) images appeared to result in Type I error (Figure 5d).

350

#### 351 Effects of manual edits on sMRI indices

352

Image reconstruction errors can influence sMRI measurements and can be exacerbated by head motion and other artifacts <sup>3,4</sup>. These errors include skull strip errors, segmentation errors, intensity normalization errors, pial surface misplacement, and topological defects. Within FreeSurfer these errors can be corrected through manual editing of voxels in brain and white

matter masks, watershed thresholds, and the addition of control points  $^{15,16}$ . Here, we examined effects of manual edits on sMRI indices among scans with relatively higher image quality, to assess whether this intervention might safely be reserved for those with MQC >2.

A total of 150 Baseline scans with MQC=1 and n=30 Baseline scans with MQC=2 were 361 362 randomly selected for manual edits by a trained coordinator (see **Methods**). Direction and effect 363 sizes of pre-to-post edit changes across the cortical mantle are displayed in Figure 6 (MQC=1 364 and 2 combined, n=180) and Extended Figure 8a and b (MQC=1 and 2 separately), while ROI-365 level changes across the entire sample of 180 are described in Table S11a,b,c. Effects of 366 manual edits were most pronounced for cortical thickness and volume, both of which tended to 367 decrease. These changes reached statistical significance (FDR q < .05) for cortical thickness in 368 40 regions (Cohen's d range 0.16 to 0.92), and for cortical volume in 28 regions (d range 0.18 to 369 0.73). Numerous regions with signal across all scans (MQC=1 and 2) demonstrated stronger 370 effects of editing on cortical thickness and volume in MQC=2 scans than MQC=1 scans (e.g., 371 bilateral parahippocampal, caudal middle frontal, and superior parietal cortices). Further, 372 cortical volume maps revealed a strong effect of edits in the area of the superior sagittal sinus, 373 particularly impacting superior parietal cortex (Extended Figure 8c). In an applied analysis, we 374 then examined the degree to which cortical edits affected effect size for the relationship between 375 cortical thickness and age. Across all 68 cortical ROIs, effect size slightly strengthened (became 376 more negative) for post-edited images compared to pre-edited images (t=2.31, p=0.024, d=-377 0.10).

To put these findings in context along with MQC rating effects on sMRI indices, **Extended Figure 9** maps all ROIs that showed significant (FDR, q < .05) effects of MQC, surface edits, or both, as well as their direction, among Baseline scans with MQC=1 or 2. Note that even when constrained to the best two scan quality groups, there are diffuse effects of scan quality differences across the cortex, for each of the sMRI indices; and that biases related to poorer overall quality control and to subtle topological defects can induce opposing effects on sMRI measurements.

386

387 Finally, to assess reproducibility and developmental specificity of cortical edit effects, we 388 compared ABCD results to that of a second, non-overlapping MRI cohort of 292 youths, age 8 to 389 18, who received MRI scans that were assessed by radiology reports as free of pathology at 390 Massachusetts General Hospital (MGH; Table S12a, b, c). This sample was previously 391 described in an analysis relating prenatal folic acid exposure to cortical development<sup>20</sup>. This 392 sample differed from ABCD by its inclusion of (1) clinical rather than research participants, (2)393 all editable images (not just those of relatively high overall quality), (3) a mix scanner field 394 strengths (1.5 and 3T) as well as manufacturers, and (4) a broader age range. Despite these 395 differences, of the 40 regions demonstrating significant effects of manual edits on thickness in 396 ABCD, 18 again showed nominally significant (15 showed FDR-significant) effects of edits in 397 the same direction within the MGH MRI cohort (Cohen's d range 0.12 to 0.98). Notably, across 398 these 18 regions, differences in pre-to-post edit mean thickness were greater at age 8-10 399 compared to other age groups (11-12, 13-14, and 15-17; omnibus F=8.49, p=0.0001, post hoc 400 comparisons p's << .0002; Extended Figure 10a). Similarly, the standard error of pre-to-post 401 thickness changes across individuals was also greatest at age 8-10 (omnibus F=64.53, p=2.25E-

402	17, post hoc o	comparisons o	of age 8-10 vs	. other groups,	p's≤6.53E-10).	Finally, the effect of edits

- 403 on the relationship between age and cortical thickness differed among age groups (F=21.54,
- 404 p=3.88E-12); specifically, the effect of edits on the age-thickness relationship was stronger at
- 405 age 8-10 (d=-1.18) than for any other age group (p's $\leq$ 7.73E-09; **Extended Figure 10b**). These
- 406 results indicate that manual edits result in replicable, diffuse changes in cortical thickness in
- 407 early adolescence that can influence effect sizes in clinical-MRI associations, but also suggest
- 408 that effects of edits become less pronounced later in adolescence.
- 409

# 410 **Discussion**

411

#### 412 Implications for brain-wide association studies in youth

413

414 These present findings identify nuances related to scan quality in large pediatric brain MRI 415 cohorts that are pervasive and complex, and that likely require multi-pronged intervention to 416 avoid error in MRI-based analyses. Leveraging one of the largest collections of uniformly 417 collected sMRI data from children and adolescents, we used manual quality control (MQC) to 418 separate high quality scans and contrast them to those with various degrees of observable 419 artifact. While inclusion of lower-quality scans diminished variance in estimates of widely used 420 sMRI metrics, such as cortical thickness and surface area, they also introduced substantial bias. 421 These effects were partially mitigated by inclusion of surface hole number (SHN), an automated 422 measure of topological complexity that accounted for quality-related variance in sMRI measures 423 akin to MQC. However, inclusion of SHN failed to safeguard against most Type I and II errors 424 when poorer quality scans were included in applied analyses that associated sMRI measures with 425 clinical data. Further, even among the highest quality scans, manual editing associated with 426 significant changes in cortical thickness and surface area -- changes that in some regions were 427 oppositely signed to those observed when controlling for SHN or MQC, and that replicated in a 428 non-overlapping clinical cohort. As a whole, these results challenge assumptions that large 429 sample size alone improves sensitivity to detect valid brain-behavior relationships, or mitigates 430 the effects of variable image quality on error risk.

431

Implications of these findings extend not only to studies that map trajectories of healthy andaberrant brain development, but also to applied analyses that relate structural indices to clinical

434 measures. Comparison of effect sizes for sMRI-clinical relationships (Figure 5) to those of bias 435 related to poor scan quality (d=0.14-2.84) or manual edits (d=0.15-0.92) – which are generally 436 higher by an order of magnitude – demonstrates the susceptibility of these relationships to 437 artifact. Recent analyses illustrate the need to include thousands of individuals in brain-wide 438 association studies <sup>28,29</sup>, reflecting the small effect sizes intrinsic to these relationships. Here, 439 inclusion of the best quality (MQC=1, n=4.617) scans was inadequate to detect relationships between cortical volume and externalizing psychopathology in several regions, effects that 440 441 became statistically significant when scans of marginally lower quality (MQC=2, n=4,057) were 442 included. However, further inclusion of even lower quality (MOC=3 and 4, n=1,585) scans 443 resulted in statistically significant but errant associations between volume and externalizing 444 psychopathology in dozens of brain regions, as demonstrated by markedly inflated effect sizes 445 compared to analyses that included exclusively higher quality scans. These results indicate 446 complex trade-offs between sample size and scan quality that warrant careful consideration in 447 large MRI studies, especially in the setting of small effect sizes.

448

### 449 Quality control in the era of "big data" sMRI studies

450

Large and diverse study samples offer clear advantages such as statistical power and improved generalizability, and in the case of psychology and neuropsychiatry research, such designs help to mitigate well-described problems of publication bias and reproducibility failures <sup>28,30</sup>. However, several pitfalls within "big data" science have also been described, including inadequate control for multiple comparisons, sampling bias, measurement error, and discrepancies between statistical and clinical significance. These issues have hampered other 457 areas of clinical-translational research, such as electronic health record, epidemiology, and health services studies <sup>31</sup>. With regard to brain imaging research, a recent study <sup>32</sup> used theoretical data 458 459 to model trade-offs of increasing sample size well into the thousands, demonstrating the risk of 460 latent bias to outweigh the benefit of reduced variance. This concern bears out in the present 461 real-world analysis, which cautions against equating data quantity and quality in youth sMRI 462 studies <sup>33</sup>. The findings also have important implications for large-scale MRI studies of other 463 populations where head motion occurs more frequently, including those with psychiatric and neurological disorders <sup>34</sup>, and those at the extremes of age <sup>35,36</sup>. 464

465

Beyond best practices to minimize participant motion<sup>23</sup>, the present findings suggest that 466 relatively labor-intensive approaches - visual QC and manual editing - conducted in concert 467 468 with automated measures such as SHN – provide the best protection against errant sMRI findings 469 in youth cohorts. However, manual edits pose their own challenges with regard to feasibility in 470 studies with tens of thousands of participants, as editing each poorer quality scan can require 471 hours of personnel time. The present analyses offer several QC alternatives that may be weighed 472 in the context of available resources, the nature of particular findings, and the characteristics of 473 the study population. For example, the SHN benchmarks identified and validated in this report 474 provide an alternative QC approach that is imperfect but less time-consuming. Investigators who 475 find associations of sMRI indices with behavioral, genetic, or environmental factors described in 476 ABCD and other neurodevelopmental cohorts may wish to consider both the effect sizes and 477 anatomical distribution of these associations in deciding which QC approach is appropriately 478 conservative. The present analyses of older adolescents (ABCD Year 2, MGH) are encouraging 479 and suggest that less intervention may be needed with advancing participant age. Further, as

- 480 automated methods continue to gain sophistication <sup>37,38</sup> they may continue to improve the
- 481 efficiency of QC and further strengthen causal inference in neurodevelopmental MRI research.

482

#### 484 <u>Methods</u>

#### 485 Sample from ABCD

486 The ABCD Study has collected data from 11,875 children from 22 sites across the United

- 487 States. Primary analyses used baseline data from children aged 9-10 years old. Institutional
- 488 Review Board (IRB) approval for the ABCD study is described in Auchter et al. <sup>39</sup> All parents
- 489 provided written informed consent and all youth provided assent. We excluded subjects whose
- 490 baseline MRI scans were flagged for clinical consultation (N=451), and those without available
- 491 T1 data (N=160) from all analyses.

#### 492 MRI acquisition

493 All MRI images were obtained using harmonized parameters with 3T MRI scanners

494 manufactured by Siemens, Philips, or GE. We used T1 weighted images (256x256 matrix,

495 slices=176-225, TR=6.31-2500, TE=2-2.9, 1x1x1 mm resolution) for our analysis. Images

acquired from Siemens and GE scanners included real-time motion detection using volumetric
 navigators that automatically triggered re-scans <sup>11,12</sup>. Additional details of MRI sequences are
 described elsewhere <sup>23</sup>.

#### 499 Image processing

500 Minimally processed baseline T1 images from 11,264 participants, and year 2 follow-up T 1 501 images from 6,941 of these participants, were downloaded from the ABCD Data Archive 502 (release 4.0). Scans underwent N4 field bias correction to correct low frequency intensity non-503 uniformities or field bias <sup>40</sup>. Subsequently whole brain processing and analyses were conducted

using FreeSurfer version 7.1 (http://surfer.nmr.mgh.harvard.edu/). One baseline scan failed
Freesurfer processing. Using automated segmentation (Desikan-Killiany atlas), cortical
thickness, surface area, and volume of 68 regions of interest (ROI) were extracted, as were 20
subcortical volumes.

# 508 Manual quality control (MQC)

509 A single, trained rater (S.E.) conducted visual assessment of all processed Baseline scans. 510 The rater was blinded to any potential identifying, clinical, or demographic information 511 regarding participants. This rater had been trained by the PI (J.L.R.) and a clinical research 512 coordinator (K.F.D.) who had experience conducting manual edits of >300 MRI scans acquired from children and adolescents aged 8 to 18<sup>20</sup>. The system of rating was developed by using a 513 514 randomly selected set of 200 baseline T1 scans. The final manual quality control (MQC) ratings 515 scheme, developed in consensus with S.E., K.F.D., and J.L.R., included 5 categories: A rating of 516 "1" was given to scans of minimal artifact, only needing about 1/2 hour to complete edits. A rating 517 of "2" was given to scans with moderate artifact, requiring 1-2 hours for manual edits. A rating 518 of "3" was given to scans with substantial artifact, requiring several hours of edits. A rating of 519 "4" was given to scans with severe artifact, such as motion artifact, and would not be possible to 520 fix with manual edits. Lastly, a rating of "5" was given to scans with a processing defect which 521 resulted in segmentation errors and apparent loss of tissue. Scans that included cysts that were 522 greater than 1 cm<sup>3</sup> were not rated and excluded from subsequent analysis. The order in which 523 scans were evaluated for MQC was semi-random. Scans originating from N=5,105 participants 524 of European ancestry were prioritized and randomly sequenced to facilitate a genomic analysis 525 <sup>27</sup>. However, this initial group also contained 373 randomly interspersed scans from randomly

selected non-European participants. Following assessment of this initial set of 5,105 scans, the remainder were evaluated in random order. Of the evaluated scans, 368 were coded within the ABCD NIMH Data Archive as "inclusion not recommended" based on an automated overall QC measure in the FreeSurfer preprocessing stream and/or corrupted raw data at the time of scan acquisition (imgincl\_t1w\_include=0); the remainder received the "inclusion recommended" code.

#### 532 Characterizing apparent tissue loss due to segmentation errors

533 MQC=5 scans (N=228) were re-rated as MQC from "1" through "4" to assess the quality of 534 the remaining volume that was unaffected by segmentation errors. Ratings were performed by 535 the same trained rater who assigned ratings to all baseline scans. The sagittal, coronal, and axial 536 extents of the drop out region were measured in Freeview 537 (https://surfer.nmr.mgh.harvard.edu/fswiki/FreeviewGuide). Approximate volumes of 538 segmentation error-related tissue loss were calculated assuming an ellipsoid shape and measured 539 x, y, and z dimensions. For purposes of displaying location and overlap of drop-out across scans, 540 rectangular cuboids were constructed in MarsBar in SPM 12 (http://www.fil.ion.ucl.ac.uk/spm/) 541 using measured dimensions and coordinates. Rectangular cuboids were combined across subjects 542 and were thresholded by a whole brain mask in MarsBar. Areas of dropout were thresholded at 543 n>10 subjects with dropout in that region and drop-out was displayed on an exemplar structural 544 image in xjView (https://www.alivelearn.net/xjview).

545 Surface hole number

546	We used surface hole number (SHN) as an automated quality control measure extracted from
547	FreeSurfer aparc tabulated data. SHN is a topological measurement referring to geometrical
548	holes (imperfections) in the tessellated brain surface. SHN is related to the Euler number by the
549	formula, Euler number = $2 - 2 \times SHN$ . Previous, smaller studies have suggested that SHN can
550	serve as a proxy for overall T1 scan quality <sup>5,16</sup> . Here, SHN from baseline scans were used to
551	determine optimal proxies for MQC, through creating of 4 tiers (A, B, C, D) that approximated
552	the 4 levels of MQC ratings $(1, 2, 3, 4)$ .

### 553 Psychopathology measurement

We used the parent-reported Child Behavior Checklist (CBCL) as a measure of dimensional
psychopathology. The CBCL is a frequently used scale comprising eight subscales
(anxious/depressed, withdrawn/depressed, somatic, social, thought, attention, rulebreaking, and
aggressive symptoms) that can be summarized by total, internalizing, and externalizing scores.
Raw scores are converted to t-scores which are normed for age and gender <sup>41</sup>.

## 559 Year 2 T1 replication

We examined all available Year 2 T1 weighted images to assess the reliability of SHN tiers derived from Baseline scans. The most recent ABCD data release (4.0) contains Year 2 scans from 7,829 participants. Using the same method as for Baseline scans, we used FreeSurfer to process images from 6,941 individuals whose baseline image passed the inclusion criteria and received MQC ratings of 1-5. SHN were calculated by FreeSurfer for each of these scans. In addition, 1,000 Year 2 scans were semi-randomly selected for MQC ratings, such that they contained (1) a range of scan quality, operationalized by selecting for an approximately equivalent number of scans that fell into tiers A, B, C, and D; and (2) a distribution of magnet
types (Siemens, Philips, GE) that was equivalent to the analyzed Baseline sample. These scans
were then rated for MQC in random sequence by two raters (E.L, K.A.K.) who had previously
been trained by the rater of all Baseline scans (S.E), such that the three raters achieved an
intraclass coefficient of >0.75 (two-way mixed effects model for absolute agreement) across a
training set of 1,000 Baseline scans.

#### 573 Manual cortical edits of ABCD scans

574 A subset of the rated Baseline scans was randomly selected for manual editing (N=150 with 575 MQC=1, N=30 with MQC=2). Each structural scan was loaded into Freeview version 7.1.1 with 576 the following volumes: brainmask, wm, brain.finalsurfs.manedit, T1, and the following surfaces: 577 rh.pial, rh.white, lh.pial, lh.white. The scans were primarily displayed in the coronal view, 578 although sagittal and horizontal views were used as needed. Criteria for editing were primarily 579 based off overestimation and underestimation of the pial and white matter boundaries. Edits to 580 the white matter boundary were made directly on the wm volume using control points and the 581 erasing tool. Edits to the pial surface were made on the brainmask volume. Errors between the 582 pial surface and cerebellum were corrected using the brain.finalsurfs.manedit volume. Edits were considered to be complete when, after post-edit re-processing in FreeSurfer, there appeared only 583 584 minimal errors remaining, meaning the generated pial and white matter boundaries more closely 585 matched the actual boundaries on the T1 image.

### 586 Manual edits of Massachusetts General Hospital (MGH) scans

587 The MGH sample was included as a replication set for effects of manual editing on cortical MRI indices and to assess whether such effects change later in adolescence. Study sample, 588 589 scanner characteristics, and editing methods were previously described by Eryilmaz and 590 colleagues <sup>20</sup>. Study procedures were approved by Partners Human Research Committee, which 591 granted a waiver of informed consent, since this retrospective study of the medical record 592 involved only deidentified data. Briefly, clinical brain MRI scans from 292 individuals aged 8 to 593 17, conducted at MGH between 2005 and 2015, were selected based on date of birth, adequate 594 scan quality on visual inspection (i.e., artifacts could reasonably be addressed with manual edits), 595 and absence of pathology as indicated on radiology reports. Scans were edited by a trained 596 research coordinator (KFD) as described above. Pre-to-post edit changes in cortical thickness, 597 volume, and surface area were measured across 68 ROIs using FreeSurfer 5.0.

## 598 Statistical analysis

#### 599 *Stability of MQC ratings over time*

MQC ratings of baseline scans that did not show signal dropout or cysts were divided into 10 equally sized time groups, reflecting the sequence in which scans were evaluated. Initial analyses were conducted to assess whether factors known to affect scan quality, including age, gender, scanner manufacturer, and psychopathology (CBCL) differed over time, using time period as either a categorical or continuous variable. Then, ANOVA was used to assess significant linear or quadratic changes in mean MQC rating across time groups, controlling for variation in these other factors and in their interactions with time and time-squared.

#### 607 *Surface-based sMRI analyses*

608	Surface maps for group-based and within-subject analyses were generated using Freesurfer
609	7.0. Images from each subject were smoothed by 22mm full width-half maximum. For between-
610	group analyses we fit general linear models with following covariates: age, gender, estimated
611	intracranial volume, study site, and scanner. Continuous predictor variables were z-transformed
612	prior to analysis. Models assessed both linear effects of MQC ratings (i.e., 1 to 4) as well as
613	pairwise contrasts (1 vs. 2, 1 vs. 3, 1 vs. 4) on cortical thickness, surface area, and volume.
614	Sensitivity analyses assessed linear effects of SHN on these indices, as well as effects of MQC
615	after controlling for SHN and vice versa. Results were visualized using uncorrected significance
616	maps (log p-value) and effect size maps (Cohen's d) as appropriate.
617	ROI-based sMRI analyses
618	Following extraction of ROI-based data from Freesurfer, analyses involving cortical
618 619	Following extraction of ROI-based data from Freesurfer, analyses involving cortical thickness, cortical surface area, and cortical and subcortical volumes were conducted with R
619	thickness, cortical surface area, and cortical and subcortical volumes were conducted with R
619 620	thickness, cortical surface area, and cortical and subcortical volumes were conducted with R version 4.1.2 ( <u>https://www.R-project.org/</u> ). Mixed-effects linear regression was run with "lme4"
619 620 621	thickness, cortical surface area, and cortical and subcortical volumes were conducted with R version 4.1.2 ( <u>https://www.R-project.org/</u> ). Mixed-effects linear regression was run with "lme4" package ( <u>https://github.com/lme4/lme4/</u> ), unless specifically mentioned. The covariates included
619 620 621 622	thickness, cortical surface area, and cortical and subcortical volumes were conducted with R version 4.1.2 ( <u>https://www.R-project.org/</u> ). Mixed-effects linear regression was run with "lme4" package ( <u>https://github.com/lme4/lme4/</u> ), unless specifically mentioned. The covariates included in the analysis were age, gender, estimated intracranial volume (fixed effect), site, scanner, and
619 620 621 622 623	thickness, cortical surface area, and cortical and subcortical volumes were conducted with R version 4.1.2 (https://www.R-project.org/). Mixed-effects linear regression was run with "lme4" package (https://github.com/lme4/lme4/), unless specifically mentioned. The covariates included in the analysis were age, gender, estimated intracranial volume (fixed effect), site, scanner, and family ID (random effects), the latter accounting for inclusion of sibling groups. Analyses were

627 SHN to detect poorer quality scans. Analyses were conducted in R using the "pROC" package.

628 Using Baseline scan data, we contrasted SHN for three breakpoints: MQC=1 vs. 2, 3, and 4;

629	MQC=1 and 2 vs. 3 and 4; and MQC=1, 2, and 3 vs. 4. We used the Youden Index to select an
630	optimal threshold to discriminate higher versus lower quality scans for each of the three
631	breakpoints. These three thresholds were used to define SHN tiers A, B, C, and D, respectively -
632	such that scans in the A tier best represented MQC=1, those in the B tier best represented
633	MQC=2, etc. As a sensitivity analysis, we also included MQC and SHN values for scans with
634	segmentation-related tissue loss into the analysis, and examined whether thresholds were altered
635	by inclusion of these scans. Then, to test reliability, we grouped all available Year 2 scans
636	according to SHN tiers, and conducted MQC on 1,000 of these scans (described above).
637	Sensitivity, specificity, and accuracy of the SHN tiers to distinguish MQC levels were assessed.
638	These metrics could then be compared to those from the Baseline analysis, as well as to those
639	from a new set of ROC analyses that determined optimal thresholds for SHN tiers in the 1,000
640	Year 2 scans.

#### 641 *Applied analyses relating quality control to MRI-clinical associations*

Linear mixed models examined associations between cortical thickness and age, and between cortical thickness and externalizing psychopathology, conditioned on the degree to which lowerquality scans were included in the analyses (e.g., inclusion of MQC=1 only, versus MQC 1 and 2; 1, 2, and 3; and 1, 2, 3, and 4). Overall surface-based and ROI methods were similar to those described above, but now using age or CBCL externalizing score rather than MQC as the predictors of interest. Sensitivity analyses examined effects of including SHN as an additional predictor in the models.

#### 649 *Effects of manual edits on sMRI indices*

650 For Baseline ABCD scans, within-subject analyses that contrasted cortical thickness, surface area, and volume before vs. after manual edits were conducted using general linear models in 651 652 Freesurfer (for surface maps of effect size) or paired t-tests in R (for ROI analyses). These 653 analyses were conducted without covariates, following upon sensitivity analyses that 654 demonstrated no significant effects of age, gender, scanner, or CBCL externalizing symptoms on 655 pre-to-post edit changes in sMRI measures. ROI analyses were corrected for multiple 656 comparisons using FDR (q < .05), based on the number of included ROIs. Analyses of MGH 657 scans focused on cortical regions that replicated significant effects of manual edits on cortical 658 thickness that were seen in the ABCD cohort. Potential changes in magnitude and variance of 659 pre-to-post edit changes across these regions were assessed as a function of age group (8-10, 11-660 12, 13-14, 15-17 years) using ANOVA.

### 661 Data availability

Data from all ABCD-related analyses were downloaded from the NIMH Data Archive (NDA), version 4.0. Derived variables, including MQC ratings and SHN, as well as region-ofinterest level data for cortical thickness, surface area, and volume processed in FreeSurfer 7.0, have been uploaded to the NDA (Study ID #1944, doi 10.15154/1528507). Data from MGH analyses contain sensitive patient information that was obtained following a waiver of informed consent, and as such has not been uploaded to a publicly available repository. Please contact the corresponding author for additional information.

### 670 <u>References</u>

- 671
- 672
- 1. Becht, A. I. & Mills, K. L. Modeling Individual Differences in Brain Development. Biol
- 674 *Psychiatry* **88**, 63–69 (2020).
- 675 2. Dick, A. S. et al. Meaningful Associations in the Adolescent Brain Cognitive Development
- 676 Study. *Neuroimage* **239**, 118262 (2021).
- 3. Alexander-Bloch, A. *et al.* Subtle in-scanner motion biases automated measurement of brain
  anatomy from in vivo MRI. *Hum Brain Mapp* 37, 2385–2397 (2016).
- 4. Blumenthal, J. D., Zijdenbos, A., Molloy, E. & Giedd, J. N. Motion artifact in magnetic
- resonance imaging: implications for automated analysis. *Neuroimage* **16**, 89–92 (2002).
- 5. Rosen, A. F. G. *et al.* Quantitative Assessment of Structural Image Quality. *Neuroimage* 169, 407–418 (2018).
- 6. Karcher, N. R. & Barch, D. M. The ABCD study: understanding the development of risk for
  mental and physical health outcomes. *Neuropsychopharmacology* 46, 131–142 (2021).
- 685 7. Thompson, P. M. et al. ENIGMA and global neuroscience: A decade of large-scale studies of
- the brain in health and disease across more than 40 countries. *Transl Psychiatry* 10, 100(2020).
- 688 8. Mills, K. L. & Tamnes, C. K. Methods and considerations for longitudinal structural brain
- 689 imaging analysis across development. *Dev Cogn Neurosci* 9, 172–190 (2014).
- 690 9. Marquand, A. F. et al. Conceptualizing mental disorders as deviations from normative
- 691 functioning. *Mol Psychiatry* **24**, 1415–1424 (2019).
- 692 10. Reuter, M. *et al.* Head motion during MRI acquisition reduces gray matter volume and
  693 thickness estimates. *NeuroImage* 107, 107–115 (2015).

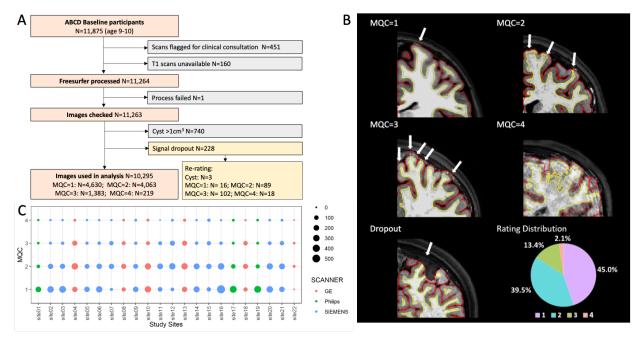
- 694 11. White, N. et al. PROMO: Real-time prospective motion correction in MRI using image-
- 695 based tracking. *Magn Reson Med* **63**, 91–105 (2010).
- 696 12. Tisdall, M. D. *et al.* Prospective motion correction with volumetric navigators (vNavs)
- 697 reduces the bias and variance in brain morphometry induced by subject motion. *Neuroimage*
- **698 127**, 11–22 (2016).
- Dale, A. M., Fischl, B. & Sereno, M. I. Cortical surface-based analysis. I. Segmentation
  and surface reconstruction. *Neuroimage* 9, 179–194 (1999).
- 14. White, T. et al. Automated quality assessment of structural magnetic resonance images in
- children: Comparison with visual inspection and surface-based reconstruction. *Hum Brain*
- 703 *Mapp* **39**, 1218–1231 (2018).
- 15. Waters, A. B., Mace, R. A., Sawyer, K. S. & Gansler, D. A. Identifying errors in
- Freesurfer automated skull stripping and the incremental utility of manual intervention. *Brain*
- 706 *Imaging Behav* **13**, 1281–1291 (2019).
- 16. Monereo-Sánchez, J. et al. Quality control strategies for brain MRI segmentation and
- parcellation: Practical approaches and recommendations insights from the Maastricht study.
- *Neuroimage* **237**, 118174 (2021).
- 710 17. Ross, M. C. et al. Gray matter volume correlates of adolescent posttraumatic stress
- disorder: A comparison of manual intervention and automated segmentation in FreeSurfer.
- 712 *Psychiatry Res Neuroimaging* **313**, 111297 (2021).
- 713 18. McCarthy, C. S. et al. A comparison of FreeSurfer-generated data with and without
- manual intervention. *Front Neurosci* **9**, 379 (2015).

715	19.	Beelen, C., Phan, T.	V., Wouters, J., G	hesquière, P. & V	andermosten, M. Inv	estigating

- the Added Value of FreeSurfer's Manual Editing Procedure for the Study of the Reading
- 717 Network in a Pediatric Population. *Front Hum Neurosci* 14, 143 (2020).
- 718 20. Eryilmaz, H. et al. Association of Prenatal Exposure to Population-Wide Folic Acid
- 719 Fortification With Altered Cerebral Cortex Maturation in Youths. JAMA Psychiatry 75, 918–
- **720 928** (2018).
- 721 21. Pulli, E. P. et al. Feasibility of FreeSurfer Processing for T1-Weighted Brain Images of 5-
- 722 Year-Olds: Semiautomated Protocol of FinnBrain Neuroimaging Lab. Front Neurosci 16,
- **723** 874062 (2022).
- Garavan, H. *et al.* Recruiting the ABCD sample: Design considerations and procedures. *Dev Cogn Neurosci* 32, 16–22 (2018).
- Casey, B. J. *et al.* The Adolescent Brain Cognitive Development (ABCD) study: Imaging
  acquisition across 21 sites. *Dev Cogn Neurosci* 32, 43–54 (2018).
- 728 24. Ducharme, S. et al. Trajectories of cortical thickness maturation in normal brain
- development The importance of quality control procedures. *Neuroimage* 125, 267–279
  (2016).
- 731 25. Wainberg, M., Jacobs, G. R., Voineskos, A. N. & Tripathy, S. J. Neurobiological,
- familial and genetic risk factors for dimensional psychopathology in the Adolescent Brain
- Cognitive Development study. *Mol Psychiatry* **27**, 2731–2741 (2022).
- 734 26. Wu, X. et al. Symptom-Based Profiling and Multimodal Neuroimaging of a Large
- 735 Preteenage Population Identifies Distinct Obsessive-Compulsive Disorder-like Subtypes With
- 736 Neurocognitive Differences. *Biol Psychiatry Cogn Neurosci Neuroimaging* 7, 1078–1089
- 737 (2022).

- 738 27. Hughes, D. et al. Genetic Patterning for Child Psychopathology is Distinct from Adults
- and Implicates Fetal Cerebellar Development. *Nature Neuroscience*. In Press.
- 740 28. Marek, S. et al. Reproducible brain-wide association studies require thousands of
- 741 individuals. *Nature* **603**, 654–660 (2022).
- 742 29. Szucs, D. & Ioannidis, J. P. Sample size evolution in neuroimaging research: An
- evaluation of highly-cited studies (1990-2012) and of latest practices (2017-2018) in high-
- 744 impact journals. *Neuroimage* **221**, 117164 (2020).
- 745 30. Open Science Collaboration. PSYCHOLOGY. Estimating the reproducibility of
- psychological science. *Science* **349**, aac4716 (2015).
- 747 31. Kaplan, R. M., Chambers, D. A. & Glasgow, R. E. Big Data and Large Sample Size: A
- 748 Cautionary Note on the Potential for Bias. *Clin Transl Sci* 7, 342–346 (2014).
- 32. Smaczny, S. *et al.* Disconnection in a left-hemispheric temporo-parietal network impairs
  multiplication fact retrieval. *Neuroimage* 268, 119840 (2023).
- 751 33. Sonuga-Barke, E. J. S. Editorial: 'Safety in numbers'? Big data discovery strategies in
- neuro-developmental science contributions and caveats. *J Child Psychol Psychiatry* 64, 1–3
  (2023).
- 754 34. Pardoe, H. R., Kucharsky Hiess, R. & Kuzniecky, R. Motion and morphometry in clinical
  755 and nonclinical populations. *NeuroImage* 135, 177–185 (2016).
- 35. Smith, J. *et al.* Can this data be saved? Techniques for high motion in resting state scans
  of first grade children. *Dev Cogn Neurosci* 58, 101178 (2022).
- 75836.Saccà, V. *et al.* Aging effect on head motion: A Machine Learning study on resting state
- 759 fMRI data. J Neurosci Methods **352**, 109084 (2021).

760	37.	Backhausen, L. L., Herting, M. M., Tamnes, C. K. & Vetter, N. C. Best Practices in		
761	Str	uctural Neuroimaging of Neurodevelopmental Disorders. Neuropsychol Rev 32, 400-418		
762	(2022).			
763	38.	Duffy, B. A. et al. Retrospective motion artifact correction of structural MRI images		
764	using deep learning improves the quality of cortical surface reconstructions. Neuroimage 230,			
765	117756 (2021).			
766	39.	Auchter, A. M. et al. A description of the ABCD organizational structure and		
767	cor	nmunication framework. Dev Cogn Neurosci 32, 8-15 (2018).		
768	40.	Tustison, N. J. et al. N4ITK: Improved N3 Bias Correction. IEEE Trans Med Imaging 29,		
769	131	10–1320 (2010).		
770	41.	Achenbach, T. M. The Achenbach System of Empirically Based Assessment (ASEBA):		
771	De	velopment. Findings, Theory, and Applications (2009).		
772 773				



## 774 775

Figure 1. Manual quality control (MOC) protocol. (A) Among 11,875 total participants at 776 Baseline, we excluded participants with clinical findings (see Methods), broken or blank T1 777 images, or repeated failed FreeSurfer preprocessing. After excluding additional images found to 778 have cysts or signal dropout, we rated 10,295 images on MQC=1-4 scale (1: best, 4: worst). (B) 779 Distribution of MQC ratings, stratified by site and scanner. (C) Representative examples of 780 781 MQC=1-4 scans and a scan with apparent tissue loss due to segmentation error. Arrows indicate 782 areas where manual edits are needed to correct for errant automated segmentation of the pial 783 surface from the underlying cortex. Distribution of MQC ratings among all scans is displayed at 784 lower right. 785



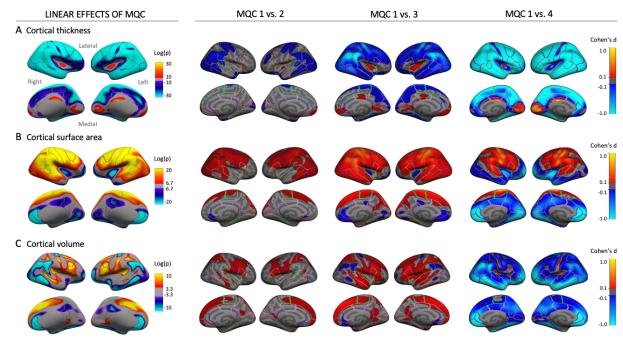
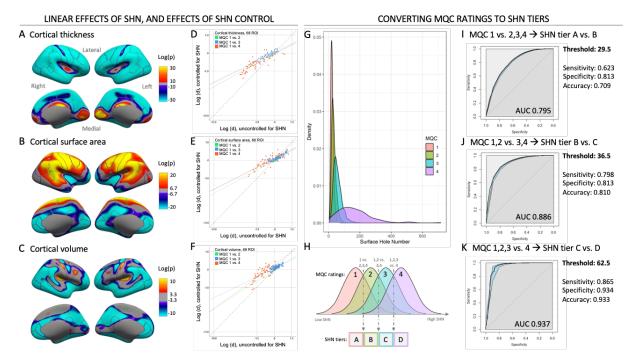


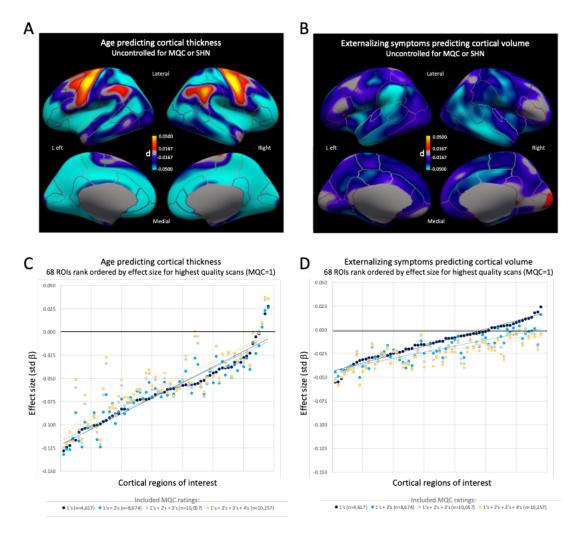


Figure 2. Association between MQC ratings and sMRI indices, n=10,261. Maps at left show
linear associations of MQC rating (1 to 4) with cortical thickness (A), surface area (B), and
volume (C). Maps at right contrast thickness, surface area, and volume highest quality images
(MQC=1) with those assigned to lower quality ratings. Covariates included age, gender,
estimated intracranial volume (fixed effects), site, and scanner manufacturer (random effects). Of
the initial 10,295 scans with MQC, 34 were excluded due to missing covariates or FreeSurfer
processing errors.





799 Figure 3. Effects of surface hole number (SHN) on sMRI indices, and derivation of SHN 800 tiers in conjunction with MQC ratings, n=10,261. Linear associations of SHN (non-801 transformed) with cortical thickness (A), surface area (B), and volume (C) closely resembled 802 those of MQC ratings (compare to Figure 2). Covariates included age, gender, estimated 803 intracranial volume (fixed effects), site, and scanner manufacturer (random effects). Additional 804 adjustment for SHN diminished the effect sizes of pairwise MQC contrasts for thickness (D), 805 surface area (E), and volume (F). Markers represent effect sizes for pairwise MQC contrasts in each of 68 cortical regions-of-interest, and solid lines reflect best-fit across all 68 regions for a 806 given pairwise MOC contrast. Note reduced slopes compared to dashed unity line. (G) Density 807 808 plot of SHN values, stratified by MQC ratings. Panel (H) illustrates the overall approach for deriving SHN tiers from MQC ratings. The SHN tiers were developed to provide quality control 809 810 estimates in the absence of manual ratings, and are based on optimized SHN thresholds for parsing higher versus lower manual quality scan groupings. Receiver-operating characteristic 811 (ROC) analyses for various thresholds are shown in panels (I), (J), and (K) along with related 812 specificity, sensitivity, and accuracy indices. For example, with an optimized SHN threshold of 813 814 29.5, 81.3% of scans with MQC=2 and higher are eliminated. This threshold was used as a breakpoint for SHN tiers A and B. Blue shaded regions indicate 95% confidence intervals. 815 816 AUC: area under the curve.

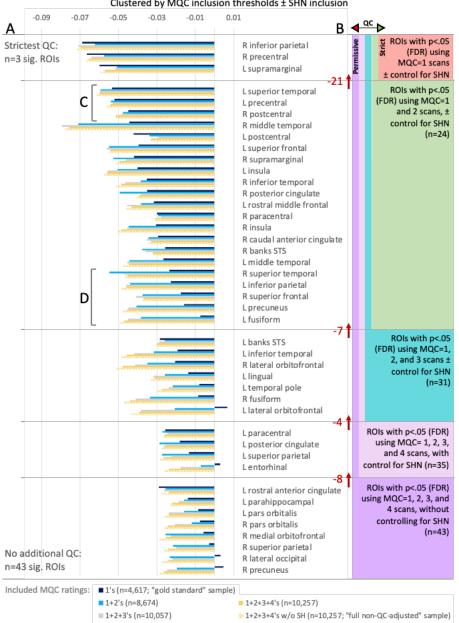


818 819

820 Figure 4. Effects of variable quality control on applied analyses of sMRI data. (A)

821 Association of age with cortical thickness, without adjusting for manual quality control (MQC) 822 rating or surface hole number (SHN). Note the substantially smaller effect size scale compared 823 to Figure 2, which shows effects of quality control variance on sMRI measurements. (B) Association of externalizing symptoms (CBCL externalizing subscale) with cortical volume, 824 825 without adjusting for MQC or SHN. Note the even smaller effect size compared to effects of age 826 on thickness. (C) Age-thickness effects stratified by region of interest (ROI) and MQC inclusion 827 threshold. Broken lines indicate best-fit lines across all ROIs for each inclusion threshold group. 828 Note tendency toward *diminished* effect size (and increased risk for false negatives) with broader 829 inclusion thresholds. (D) Externalizing symptoms-volume effects stratified by ROI and MQC 830 inclusion threshold. Broken lines indicate best-fit lines for each inclusion threshold group. Note 831 tendency toward *inflated* effect size (and increased risk for false positives) with broader 832 inclusion thresholds. All analyses covaried for age, gender, estimated intracranial volume (fixed effects), site, and scanner manufacturer (random effects); ROI-based analyses also included 833 834 family ID as a random effect.

- 835
- 836
- 837



Effect size (std  $\beta$ ) for volume on externalizing symptoms Clustered by MQC inclusion thresholds ± SHN inclusion

### 838 839

## 840 Figure 5. Effects of increasingly stringent quality control on effect size and statistical

841 significance of externalizing symptoms-volume findings. (A) At left, bars indicate effect sizes

842 for the relationships between externalizing symptoms and cortical volume for each ROI,

stratified by the stringency of quality control of included scans (see legend). At one extreme,

dark blue bars indicate effect sizes generated by using the most conservative approach, i.e., only

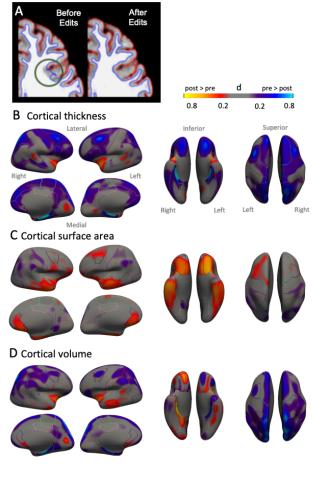
845 MQC=1 scans were included in the analysis, which also corrected for SHN ("gold standard" A(17) At the other state of the balance in direct of the state of

sample, n=4,617). At the other extreme, thatched yellow bars indicate effect sizes generated by

using the most permissive approach, i.e., scans with all MQC levels were included in the
analysis, and no SHN correction was applied ("full non-QC-adjusted" sample, n=10,257). Note

that for most regions, more permissive quality control was associated with inflated effect sizes.

(B) As seen at right, ROIs were grouped based on whether they continued to show statistically 850 851 significant (q $\leq$ .05, FDR) relationships between externalizing symptoms and cortical volumes as 852 lower quality scans were iteratively removed. Red numbers and arrows indicate the number of 853 ROIs that dropped out of significance with each level of tightened QC. The purple group contains 43 regions that were significant after using the most permissive quality control (no 854 855 removed scans). In contrast, the red group (gold standard) contains only 3 regions that were 856 significant after using the most conservative quality control (MQC=2, 3, and 4 removed). Note 857 that when including the next best of scans (MQC=2), several regions that become significant in 858 this larger sample (n=8,674), e.g., those near (C), did not show inflated effect sizes when lower 859 quality scans were included, and thus appeared robust to poor scan quality. That these regions 860 are significant when MQC 1+2 scans are included in the analysis – but not significant when only MQC=1 scans are included (n=4,617) – indicates that using only the highest quality scans 861 potentially results in false negatives (type II error) due to lack of statistical power. However, 862 863 other regions that were significant in the MQC 1+2 group, e.g., those near (D), showed substantial effect size inflations when scans rated as MOC=2 or higher were included. For these 864 865 regions, statistically significant findings likely reflected false positives (type I error) – even when all included scans were of relatively good quality. All analyses covaried for age, gender, 866 estimated intracranial volume (fixed effects), and site, scanner manufacturer, and family ID 867 868 (random effects).



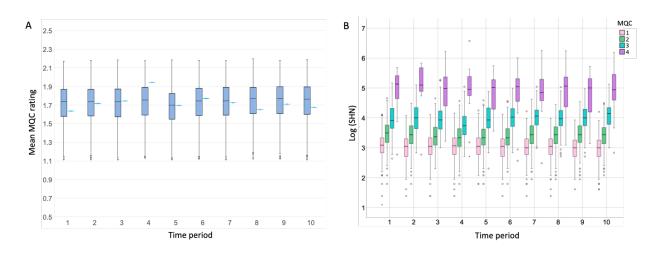
870 871

872 Figure 6. Effects of manual edits on sMRI indices (n=180). Manual edits (e.g., A, which

873 corrects a gray-white matter boundary segmentation error) were conducted on 150 scans with

874 MQC=1 and 30 scans with MQC=2. Maps reflect effect sizes (Cohen's d) of pre-to-post edit

875 changes in (B) cortical thickness, (C) cortical surface area, and (D) cortical volume.



877 878

879 Extended Data Figure 1. Stability of manual quality control (MQC) ratings over time

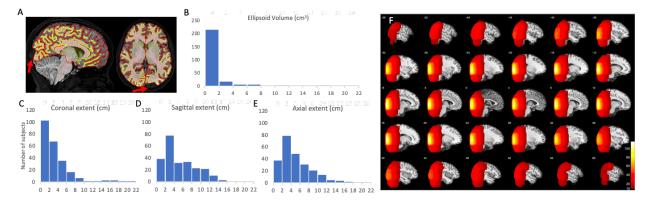
(n=10,295). Scans were assigned to deciles based on the sequence in which they received MQC
 ratings by a single trained rater. (A) Box and whisker plots show distribution of MQC ratings for

each time period, after adjusting for age, gender, scanner manufacturer, and externalizing

883 psychopathology. Adjacent marks show unadjusted mean ratings for the same period. (B) Box

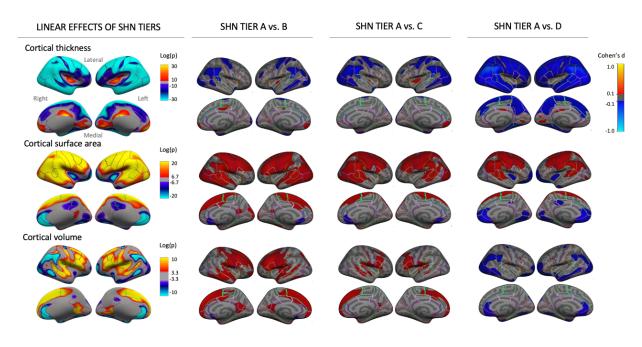
and whisker plots show distribution of the log of surface hole numbers (SHN), stratified by

- 885 decile and MQC rating.
- 886



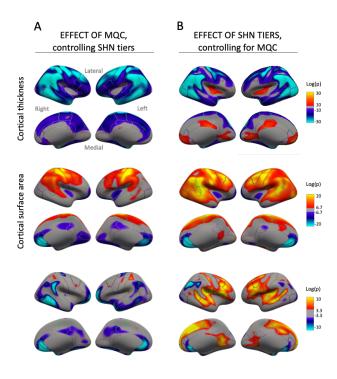
## 887 888

Extended Data Figure 2. Signal dropout in sMRI processing (n=228). (A) Examples of
dropout regions where FreeSurfer segmentation failed and did not include a substantial portion
of cortex. (B) Distribution of approximate volume of dropout area estimated by ellipsoid volume
calculated and distribution of (C) sagittal, (D) coronal, and (E) axial extent. (F) Distributions of
drop-out regions overlaid on exemplar brain thresholded at n=10 subjects. Heat map represents
number of overlapping subjects.



896 897

Extended Figure 3. Comparison of SHN tier effects on sMRI indices at Baseline, n=10,295;
compare to Figure 2. Maps at left show linear associations of SHN tier (A to D) with cortical
thickness, surface area, and volume. Maps at right contrast thickness, surface area, and volume
highest quality images (SHN=A) with those assigned to lower quality ratings. Covariates
included age, gender, estimated intracranial volume (fixed effects), site, and scanner
manufacturer (random effects).

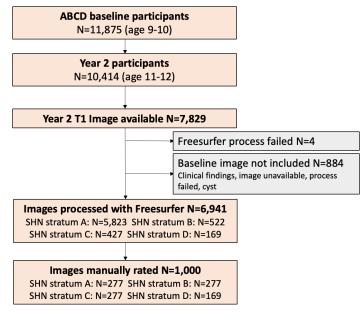




#### Extended Data Figure 4. Unique contributions of SHN tiers versus MQC to variance in 907 908 sMRI indices, n=10,295. (A) Linear association of MQC on cortical indices after controlling 909 for SHN tiers. (B) Linear association of SHN tiers on cortical indices after controlling for MQC.

910 Covariates included age, gender, estimated intracranial volume (fixed effects), site, and scanner manufacturer (random effects).

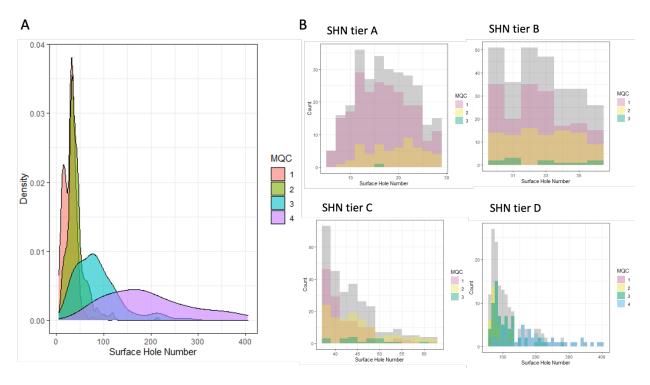
- 911
- 912
- 913



- 914 915
- 916 Extended Data Figure 5. Included Year 2 follow-up scans. Among 11,875 total participants

at baseline, Year 2 T1 scans were available from 7,829; of these, 6,941 were eligible for

- 918 processing with FreeSurfer, and 1,000 were semi-randomly selected for MQC ratings (see
- 919 Methods for additional details).
- 920

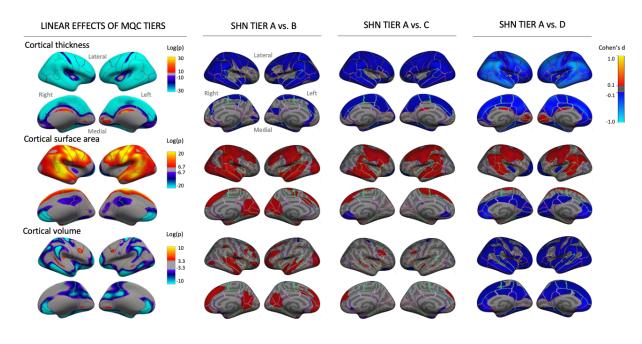


921 922

923 Extended Data Figure 6. Relationship of surface hole number (SHN) to manual quality

924 control (MQC) in selected Year 2 follow-up scans (n=999). (A) Density plot of SHN values,

stratified by MQC ratings. (B) Distribution of MQC ratings as related to SHN for each SHN tier.



927 928

929 Extended Figure 7. SHN tier effects on sMRI indices at Year 2, n=6,941 (compare to

930 Extended Data Figure 3). Maps at left show linear associations of SHN tier (A to D) with

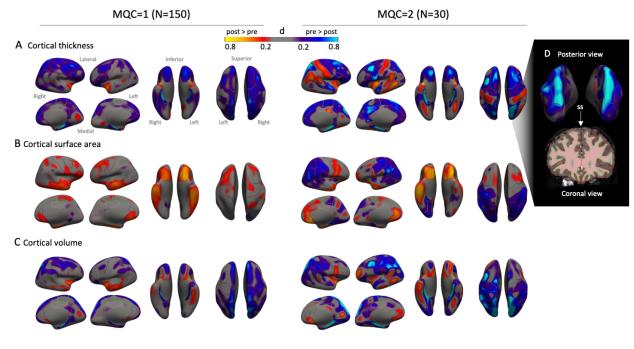
931 cortical thickness, surface area, and volume. Maps at right contrast thickness, surface area, and

volume highest quality images (SHN=A) with those assigned to lower quality ratings.

933 Covariates included age, gender, estimated intracranial volume (fixed effects), site, and scanner

934 manufacturer (random effects).



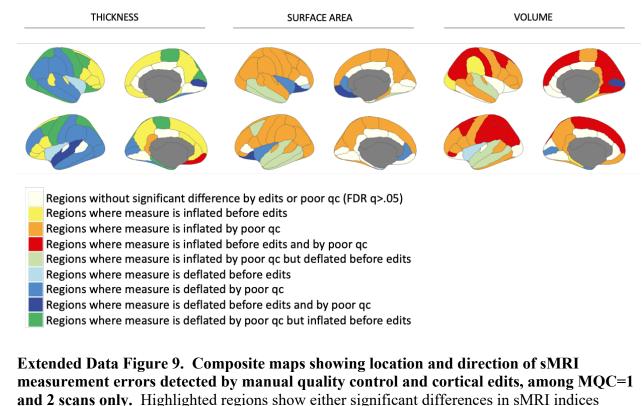




# 939 Extended Data Figure 8. Effects of manual edits on sMRI indices, stratified by MQC

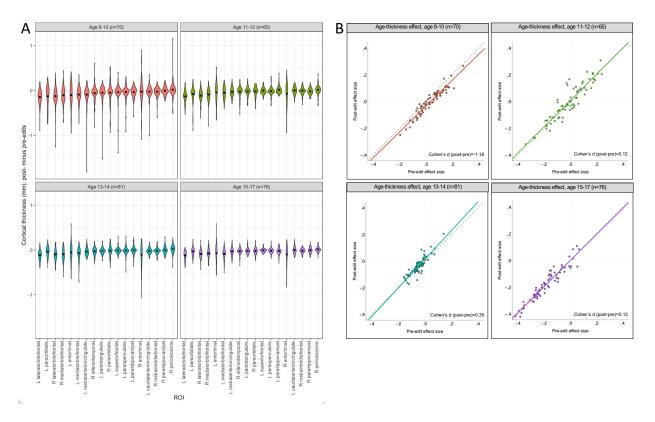
**rating**. Edits were conducted on 150 scans with MQC=1 and 30 scans with MQC=2. Maps

- 941 reflect effect sizes of pre-to-post edit changes in (A) cortical thickness, (B) cortical surface area,
- and (C) cortical volume. Note increased effects of edits in MQC=2 relative to MQC=1. (D)
- 943 Post-edit thickness reduction along the superior sagittal sinus, which is frequently misattributed
- 944 to pial surface during preprocessing.
- 945



between MQC=1 and MQC=2 scans, significant effects of cortical edits, or both. Note that, when co-occurring within the same region, errors due to poor scan quality (assessed by MQC) do

not necessarily occur in the same direction as errors requiring manual edits. 



## 955 956

957 Extended Data Figure 10. Effects of manual edits on cortical thickness and age-thickness 958 relationships MGH sample, stratified by age group (n=292). (A) Violin plots show effect 959 size and related variance of manual edits on cortical thickness in the MGH sample, stratified by 960 age group. The 18 included ROIs are those that also showed significant effects of edits on 961 cortical thickness in the ABCD cohort, in the same direction. Regions are ordered by effect size in the 8- to 10-year-old group. Means are represented by black circles. Note that effect sizes and 962 963 variance diminished with age. (B) Effects of edits on the magnitude of age-thickness relationships within the MGH sample across 68 cortical ROIs, stratified by age group. Each 964 marker shows the age-thickness effect size for a given ROI. Edits strengthened age-thickness 965 966 effects (i.e., effect sizes became more negative, indicated by lower intercept of the best-fit line 967 compared to the dashed unity line) at age 8-10, but not in other age groups. 968

# 970 <u>Acknowledgments</u>

- 971 The authors are grateful to Drs. Randy L. Buckner and Erin C. Dunn for helpful comments on
- 972 the manuscript.

# 974 Author contributions

- 975 Conception and experimental design: Kunitoki, Clauss, Doyle, Lee, Tervo-Clemmens,
- 976 Eryilmaz, Satterthwaite, Roffman.
- 977 Data acquisition: Hopkinson, Eryilmaz, Gollub, Dowling, Roffman.
- 978 Data analysis: Elyounssi, Kunitoki, Clauss, Laurent, Kane, Hughes, Bazer, Sussman, Lee,
- 979 Dowling, Roffman.
- 980 Data interpretation: Elyounssi, Kunitoki, Class, Laurent, Kane, Hughes, Bazer, Doyle, Lee,
- 981 Tervo-Clemmens, Gollub, Barch, Satterthwaite, Dowling, Roffman.
- 982 **Drafting and revision of manuscript:** All authors.
- 983 All authors have approved the submitted version of the manuscript and have agreed to be
- 984 personally accountable to their own contributions.