### ARTICLE IN PRESS

YNBDI-02752; No. of pages: 11; 4C: 4, 5, 6

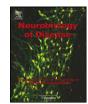
Neurobiology of Disease xxx (2012) xxx-xxx



Contents lists available at SciVerse ScienceDirect

### Neurobiology of Disease

journal homepage: www.elsevier.com/locate/ynbdi



Review

# Towards the study of functional brain development in depression: An Interactive Specialization approach

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#### ARTICLE INFO

#### ABSTRACT

10 Article history:
 11 Received 13 February 2012
 12 Revised 1 June 2012
 13 Accepted 22 June 2012
 14 Available online xxxx
 16 Keywords:

18 Keywords:
19 Depression
20 Brain
21 Brain development
22 Interactive Specialization
23 Preschool depression
24 Pediatric depression

Depression is a significant and impairing mood disorder with onset possible as early as age 3 and into adult- bood. Given this varying pattern of age of onset, identifying the relationship between brain development and 26 depression across the lifespan has proven elusive. This review identifies some of the factors that may have 27 limited the advancement of our knowledge in this area and discusses how synthesizing established models 28 of depression and normative brain development may help to overcome them. More specifically, it is 29 suggested that current neurobiological models of depression fail to account for the developmental variance 30 associated with early neural network development and the potential influence of experience on this process. 31 The utility of applying an established framework of normative brain development to this topic is described 32 and its potential utility for conceptualizing the influence of depression on brain function across the life 33 span is addressed. Future directions including longitudinal neuroimaging studies of early onset depression 34 and groups at risk for this disorder are proposed.

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Available online on ScienceDirect (www.sciencedirect.com).

0969-9961/\$ – see front matter © 2012 Published by Elsevier Inc. doi:10.1016/j.nbd.2012.06.012

Please cite this article as: Gaffrey, M.S., et al., Towards the study of functional brain development in depression: An Interactive Specialization approach, Neurobiol. Dis. (2012), doi:10.1016/j.nbd.2012.06.012

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#### Introduction

Depression has been increasingly recognized as a significant and impairing mood disorder with widespread public health implications. Current estimates suggest that up to 16% of the general population will experience at least one major depressive episode in their lifetime and that approximately 80-90% will go on to have additional occurrences (Kessler et al., 2005; Mueller et al., 1999; Solomon et al., 1995). Interestingly, the probability of experiencing future episodes of depression may be age dependent, with an earlier onset (e.g., in childhood) associated with greater risk for- and increased frequency of recurrence (Birmaher et al., 2002; Lewinsohn et al., 1999). Additionally, studies have generally suggested a more complex clinical picture in pediatric depression as well, with increased comorbidity and functional impairment. Given that an earlier onset of depression may signal a more chronic and impairing form of this disorder (Birmaher and Axelson, 2006; Birmaher et al., 2002; Harrington et al., 1996; Perlis et al., 2004), it is remarkable how little is known about its neurodevelopmental course. The growing consensus that childhood may represent a developmental period when the brain is potentially more amenable to prevention and treatment efforts further underscores the need for such information (Fox et al., 2010).

Skepticism about the application of traditional definitions of major depressive disorder (MDD) in early childhood and the pragmatic challenges of using neuroimaging techniques in children has undoubtedly slowed research into depression related effects on brain development. However, we also suggest that the varying timing of depression onset has not allowed for a straightforward interpretation of MDD within a traditional developmental disorder framework. Specifically, many of the more "traditional" developmental disorders such as autism or attention deficit/hyperactivity disorder are considered disorders of childhood and require symptom manifestation prior to a specific age (for example 3 years of age in autism; APA, 2000). Though depression can also be identified in childhood, depression frequently emerges post-puberty and, as such, tends to be viewed as a disorder of adulthood that can be and often is diagnosed at earlier ages. This age related distinction (i.e., disorders of childhood or adulthood), while not arbitrary, presents a very real quandary for addressing the developmental neurobiology of a given "adult" disorder. Of primary importance for the current discussion is the common inference that a fixed or static neurobiological model of depression can be directly applied at any age, leading one to overlook the dynamic and highly plastic nature of the brain development process. The assumed direct applicability of adult neurobiological models to pediatric depression is often notable in studies and reviews focused on this condition. For example, studies and reviews addressing brain related findings in pediatric depression commonly frame their discussion using current neurobiological models derived from the adult literature (e.g., restricting or largely focusing their literature review or analyses on brain regions included in these models). While highly informative and thought provoking, these previous works have not discussed, nor fully considered, how current theories of normative brain development processes should be incorporated and considered in neurobiological models of depression. This is not to say that specific periods of brain development (e.g., changes in brain structure or function during adolescence) and general concepts (e.g., neuroplasticity) have not been considered in previous reviews of pediatric depression, as they have (e.g., Davey et al., 2008; Forbes and Dahl, 2012). However, these discussions have generally stopped short of using well-developed theoretical frameworks to inform the process of brain development across the lifespan in this disorder. Rather, they have largely been constrained to identifying patterns of group differences at a given point in development (e.g., childhood) and evaluating the identified differences as consistent or not consistent with different developmental time periods (e.g., adulthood). To be

fair, the currently available literature informing brain function and 130 structure in pediatric mood disorders does not allow for much more. 131

Recent neurobiological reviews of pediatric depression suggest 132 that the field is now at a tipping point for identifying advantageous 133 paths forward in this developing area of study (Hulvershorn et al., 134 2011; Monk, 2008). As such, the goal of the current review is to suggest one such path forward. Specifically, we suggest that synthesizing 136 established models of depression and normative functional brain de- 137 velopment would help provide an important theoretical step forward 138 for identifying how the potential effects of this disorder on brain 139 function emerge across the lifespan. In order to illustrate this ap- 140 proach and how it fits within the broader field of depression research, 141 we discuss the use of a developmental psychopathology perspective 142 (Cicchetti, 1984) as an overarching framework to study depression 143 and the more recent inclusion of general system neuroscience princi- 144 ples into this perspective (Cicchetti and Tucker, 1994). Following this, 145 we propose that incorporating a well-developed theory of normative 146 brain development (Johnson, 2001) into this discussion may provide 147 unique insights through empirically testable predictions about the re- 148 lationship between depression and the process of functional brain de- 149 velopment. As an illustrative example of this, we selectively review 150 research examining emotion regulation and its associated neurobiol- 151 ogy in healthy and depressed children, adolescents, and adults. Given 152 recent in-depth reviews discussing reward processing and other 153 etiologically relevant endophenotypes in depressed adolescents and 154 adults (including two within this special issue), we take a broader ap- 155 proach to this topic by focusing on the process of brain development 156 and how it can inform a lifespan approach to depression. That is, this 157 review does not aim to provide an in-depth discussion of any one de- 158 velopmental period but rather will apply this "process model" of 159 normative brain development to a domain of specific interest in de- 160 pression to provide an example of how it might be applied. Therefore, 161 in this review we will restrict our discussion to the regulation of negative affect over the course of normative development and in depression. We conclude the review by suggesting future directions that 164 may help address some of the outstanding gaps in our knowledge 165 about depression and its interaction with normative brain develop- 166 ment processes.

It is our hope that the following discussion will help contribute to 168 the creation of a unifying framework for brain research in depression, 169 allowing for the potential identification of developmentally specific as 170 well as age independent or common underlying neurobiological ef-171 fects of this disorder across the life span. What is presented here is 172 far from a complete account of what is known about the relationship 173 between depression and brain development; rather it is intended to 174 propose a direction and agenda for future research on this topic. 175

## Developmental psychopathology as an overarching framework for 176 the study of brain development in depression 177

While rapid advances in technology have offered new and exciting 178 opportunities to examine depression in unprecedented ways, their 179 continued use in the absence of a developmentally informed concep- 180 tual framework is unlikely to move our understanding of psychopath- 181 ological brain processes beyond the "what" and "where" of differences 182 to the more central questions of "when" and "how" did they arise 183 (Cicchetti, 1984). The adaption of such a conceptual framework is 184 uniquely important for the study of developmental phenomena, 185 which by their very nature are perhaps best captured by an examina- 186 tion of process rather than outcome. We believe that such a frame- 187 work should have several features in order to be useful for this 188 purpose. Succinctly, such a framework must sufficiently capture the 189 complex nature of factors affecting mood disorder onset and course 190 as well as define development as an ongoing process. Further, the 191 given framework must be broad enough to consider the interplay be- 192 tween multiple relevant factors (e.g., psychological, biological, 193

environment), allow for the incorporation of other complimentary theories related to more specific processes of interest not fully captured within it (in our case brain development), and explicitly define development as a process that has no hard and fast end point (i.e., does not end at a specific age or milestone). The previously articulated developmental psychopathology perspective (Cicchetti, 1984; Cicchetti and Toth, 1998; Sroufe and Rutter, 1984) offers a powerful framework that includes each of these elements and comes with a well-established history of being applied to depression (Cicchetti and Toth, 1998). We believe that adopting this general framework provides an important starting point for more detailed discussions of specific aspects contained within it, such as brain development, while still recognizing the importance of taking a multi-level approach to the study of depression.

#### The brain as a complex self-organizing system

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Central to the developmental psychopathology perspective has been the view of neurobiological development as a self-organizing process, meaning that the "active" individual participates in determining what experiences and environments (e.g., directing their attention to specific stimuli or settings) contribute to the ongoing process of brain development (Cicchetti and Tucker, 1994). Importantly, within this perspective, experience is broadly construed and includes not only external events but also internal ones as well, such as cognitive processes or mood states (Cicchetti and Tucker, 1994). It is important to emphasize that a self-organizing view of brain development extends beyond basic models of plasticity (Huttenlocher, 2002), not only viewing brain development as a process of interacting aspects of nature (e.g., experience-expectant) and nurture (i.e., experience) but also emphasizing the active role of the individual in determining what experiences are encountered.

Brain development as a self-organizing process has generally not been used to generate specific hypotheses regarding neurobiological development in mood disorders. This is likely due in large part to the limited availability of normative research informing the developmental trajectories of the regions and networks of interest. However, given the growing body of neuroanatomical literature suggesting that cortical and subcortical regions implicated in neurobiological models of mood disorders progress along differing trajectories of maturation (Giedd et al., 1996a, 1996b, 1999), it is likely that generating theoretically informed hypotheses about the developing specialization of these regions will be critical for addressing whether or not they are key regions of interest across development and whether the effects of depression on them are dependent upon the developmental period during which this disorder manifests. As detailed below, we believe that integrating the self-organizing view of brain development already captured by the developmental psychopathology perspective with recent theoretical work on the emergence of functional specialization during this process will be highly useful for generating specific hypotheses concerning these questions and designing future studies to begin answering them.

### Interactive Specialization and the development of functional specialization in the brain

Interactive Specialization (IS), a recently proposed conceptual framework of normative brain development (Johnson, 2000, 2001, 2011), suggests that brain regions begin to take on increasingly specific functional roles (i.e., functional specialization) as activity-dependent interactions with other regions shape and eventually restrict their sensitivity to specific sets of stimuli (e.g., faces or events). Thus, similar to the use-dependent properties of neurons described in studies of neural plasticity (Huttenlocher, 2002), IS suggests that brain regions and related networks are progressively "fine tuned" (i.e., constrained) into a mature form following repeated

exposure and involvement with a given task (Johnson, 2001). However, it should be noted that IS also suggests that the development of a 257 new skill or onset of an experiential event (e.g., adolescence) may 258 alter previously established interactions between brain regions and 269 lead to large scale reorganization of brain function as a result. Thus, 260 IS emphasizes interregional connectivity between brain regions as 261 important for emerging functional specialization as well as the possibility of later occurring experience dependent reorganization across 263 development.

The IS framework has previously been compared to other general 265 theories of brain development, including maturational and skill learn- 266 ing viewpoints (Johnson, 2001). Briefly, the maturational viewpoint 267 of brain development suggests that new skills or behaviors are asso- 268 ciated with the anatomical maturation of a specific brain region. 269 Underlying this relationship is an assumption that neuroanatomical 270 development can be used to identify the specific age when a brain re- 271 gion will become fully "functional." As such, in the maturational 272 model, the specialized function of a brain region emerges over time 273 in a linear and deterministic fashion and is static once established, 274 ruling out periods of dynamic reorganization of brain function and as- 275 sociated networks across development. Alternatively, skill-learning 276 views of brain development suggest that brain regions used for com- 277 plex skill acquisition in adults are highly similar to those necessary for 278 the emergence of new skills earlier in development. Thus, while the 279 exact form of the skill to be acquired at a given developmental period 280 may differ the pattern of brain activity necessary to support it may 281

In general, while the theories discussed above are not necessarily 283 mutually exclusive, the IS framework is unique when compared to 284 the maturational and skill learning perspectives given its specific pre- 285 dictions about developing functional specialization within the brain 286 and the underlying assumption that skill development is dependent 287 upon the interregional interactions of cortical areas rather than fully 288 pre-programmed maturational processes or patterns of skill acquisi- 289 tion (see Fig. 1 for further detail). Importantly, it also recognizes 290 that brain development is a transactional process, where both genes 291 and behavior play an important role in the development of functional 292 specialization (Johnson, 2011). These distinctions are important given 293 a growing body of literature suggesting that functional brain develop- 294 ment is a prolonged process; where changing patterns of within and 295 between network connectivity (Dosenbach et al., 2010) are likely 296 related to skill development, genetics, and open to environmental influence (Bluhm et al., 2009; Emerson and Cantlon, 2012; Gaffrey et al., 298 in press; Thomason et al., 2008, 2009).

## Interactive Specialization as a conceptual framework for 300 studying brain development in depression: emotion regulation 301 as an example

As a domain-general framework for brain development (Johnson, 303 2011), IS does not provide explicit predictions about the potential effects of individual psychological or biological differences on specific 305 neural networks. Rather, it hypothesizes a developmental process 306 and provides a general set of testable predictions that can be used 307 to explore the development of previously proposed networks associated with a construct (e.g., emotion regulation) and the potential influence of environmental events on them. Normative patterns of 310 functional brain development predicted by IS include the ideas that 311 Q5 1) increasing specialization of a brain region for a given stimulus or 312 task(s) will be evidenced by a more selective response pattern within 313 that region (e.g., increase in responding to faces and decrease in 314 responding to objects for a face specialized region); 2) increasing spe- 315 cialization will be associated with increasing localization (i.e., shrink-316 ing of cortical tissue/number of regions active in response to a 317 stimulus); 3) regions similarly responsive to a given stimulus at an 318 earlier developmental point may no longer continue to co-activate 319

Please cite this article as: Gaffrey, M.S., et al., Towards the study of functional brain development in depression: An Interactive Specialization approach, Neurobiol. Dis. (2012), doi:10.1016/j.nbd.2012.06.012

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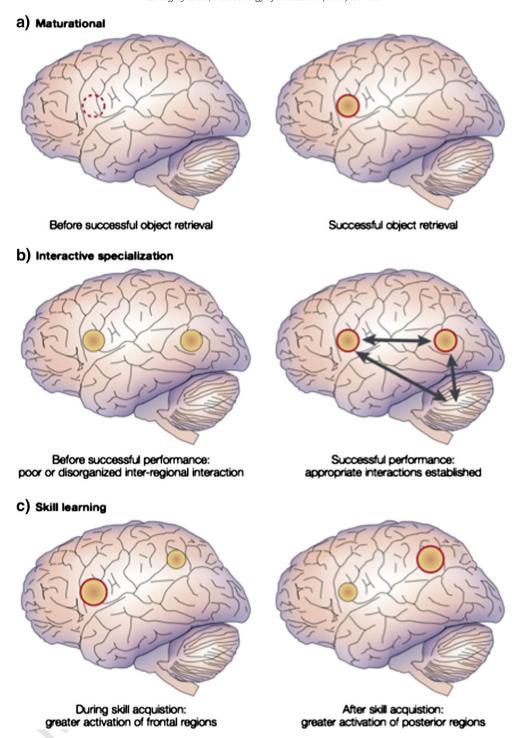
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**Fig. 1.** The figure illustrates (a) a maturational account where skill emergence is associated with cortical regions previously silent prior to maturation, (b) an Interactive Specialization account where skill emergence is associated with developing interactions between cortical/subcortical regions, and (c) a skill learning account where skill emergence is associated with a transition from greater frontal to posterior activity following the eventual establishment of a given skill.

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during tasks once different patterns of functional specialization emerge for each; 4) developing functional specialization for cognitive skills or behavior will be associated with widespread changes across multiple regions; and, 5) individual regions will mutually influence the development of functional specialization in each other and facilitate the emergence of tightly integrated, specialized networks (Johnson, 2011). In line with the IS view that the developing functional specialization of a brain region or network is an emergent process, the influence of experience on this process is also predicted to

vary as a function of developmental timing, with early experiences 329 likely resulting in more variable consequences for ongoing brain func- 330 tion and organization when compared to those occurring after net- 331 works are likely already firmly established (i.e., in adulthood; 332 Johnson, 2011). In the remainder of this section, we use the IS frame- 333 work to undertake a selective review of available developmental neu- 334 roimaging literature examining emotional response and regulation 335 (considered to be central in the pathophysiology of depression) in 336 healthy and depressed individuals. While we focus specifically on 337

emotion regulation in this chapter, it should be kept in mind that the IS model can also be applied to other key emotion and cognitive processes central to depression.

#### Interactive Specialization and emotion regulation

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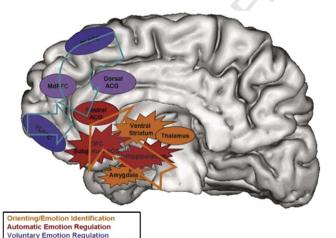
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Brain regions and networks underlying emotion response and regulation (referred to as emotion regulation going forward) have been a topic of increasing interest in both studies of normative brain development as well as pediatric depression. While continually evolving, neurobiological models of emotion regulation have generally implicated corticolimbic circuits involving dorsal "cognitive control" and ventral "emotion generating" regions (Pessoa, 2008). This has been particularly true of models concerning the pathophysiology of mood disorders such as depression, where the relationships between dorsal and ventral regions have been hypothesized as critical for the onset and course of MDD (Drevets et al., 2008; Mayberg, 1997; Phillips et al., 2003). These models have typically suggested patterns of hypo-responsivity in dorsal regions such as the dorsal lateral prefrontal cortex and hyper-responsivity in ventral brain structures such as the amygdala (see Fig. 2 for an example). Research into emotion regulation and its associated brain regions and networks has largely focused on healthy and mood disordered adults. However, while still few in number, more recent studies have started to directly examine the relationship between the emergence of emotion regulation and developing brain regions and networks in children and adolescents. These studies have primarily utilized two types of tasks, one presumed to implicitly tap this construct (i.e., capture its more "automatic" aspects) and another designed to explicitly assess specific emotion regulation strategies (e.g., cognitive reappraisal). In line with behavioral research examining emotion regulation (Cole et al., 1994), early findings from this work raise the intriguing possibility that brain regions and networks supporting this skill also undergo a dynamic and prolonged period of development in childhood. Below, we discuss studies selectively chosen based on their use of multiple age groups and tasks designed to examine emotion regulation and assess the degree to which IS provides meaningful predictions concerning brain development and emotion regulation.



**Fig. 2.** Neurobiological model of emotion regulation depicting dorsal and ventral regions commonly implicated in mood disorders, including depression. Arrows in the figure depict a disrupted relationship between control (depicted as ovals) and emotion generating (depicted as stars) regions. Refer to the figure for an explanation of the colors used.

Regions Implicated in Both Automatic and Voluntary Emotion Regulation

Reprinted by permission from Macmillan Publishers LTD: Molecular Psychiatry, Phillips, M.L., Ladouceur, C.D., & Drevets, W.C. (2008). A neural model of voluntary and automatic emotion regulation: implications for understanding the pathophysiology and neurodevelopment of bipolar disorder. 13(9), Pg. 849.

Emotion regulation and brain development in typically developing 374 groups

#### Implicit regulation

Developmental fMRI studies focusing on implicit emotion regula- 377 tion have tended to use images of human faces displaying specific 378 emotions. Fear faces have been the most frequently used, given 379 their well established relationship with amygdala activity, a region 380 believed to be highly important for the recognition and evaluation 381 of emotionally relevant stimuli. One of the first studies to examine 382 potential developmental differences using this approach was carried 383 out by Thomas et al. (2001b) using the amygdala as a region of interest (ROI). When comparing functional activity to fearful faces relative 385 to a fixation cue, left amygdala activity was seen in both children and 386 adults. However, when fearful and neutral faces were compared 387 adults showed greater activation to fearful faces while children dem- 388 onstrated increased activity to faces with neutral expressions. One 389 suggested explanation for this difference included the potential for 390 neutral faces to be found more ambiguous by children and, given a 391 lack of neutrality, to require increased vigilance to decode or interpret 392 them. However, more recent functional imaging studies using a similar design have reported discrepant results when children and adults 394 are compared. Specifically, using the amygdala as an a priori ROI, 395 Monk et al. (2003) have reported that older children and adolescents 396 exhibit increased activity in the right amygdala when compared to 397 adults during the viewing of fearful faces relative to neutral faces. 398 Similar results were recently found in a larger sample of adolescents 399 and adults from the same research group (Guyer et al., 2008). While 400 the potential reasons for the discrepancy between these studies 401 have been fully articulated elsewhere (Monk, 2008), one important 402 consistency was that developmental differences in amygdala activity 403 were detected only during conditions when the active processing of 404 a face's emotional content was not required (e.g., passive viewing or 405 judging nose width in Monk et al., 2003; passive viewing only exam- 406 ined in Thomas et al., 2001a, 2001b). More recently, Todd and col- 407 Q6 leagues (Todd et al., 2011) examined amygdala response to happy 408 and angry facial expressions of emotion in children and adults. ROI 409 analyses focusing on the amygdala revealed a linear relationship be- 410 tween age and activity to faces, suggesting a developing sensitivity 411 to facial expressions of emotion in the amygdala with age. In addition, 412 the authors reported developmental differences in amygdala activity 413 for happy faces relative to angry faces, with children showing greater 414 activity for happy relative to angry, and adults showing the opposite 415 pattern.

A more recent fMRI study by Perlman and Pelphrey (2011) 417 assessed implicit emotion regulation using mood induction and facial 418 expressions of fear in children and adults. Though not directly com- 419 pared, a unique pattern of results was found for each age group dur- 420 ing the viewing of fearful faces. Specifically, children only 421 demonstrated significant functional activity within the left amygdala 422 during periods of both positive and negative moods while adults 423 demonstrated significant functional activity within the right amygda- 424 la during positive mood induction and recovery from negative mood, 425 possibly reflecting developmental differences in motivation or the 426 modulation of fearful face processing by attentional demands. In an 427 additional set of analyses, the authors examined whether the ventral 428 medial prefrontal cortex (VMPFC) demonstrated regulatory influence 429 over the amygdala during periods of induced emotion in the child 430 group. Using Grainger causality mapping, effective connectivity be- 431 tween the VMPFC and the left amygdala was found during negative 432 mood induction, indicating that activity within the VMPFC preceded 433 and potentially regulated amygdala reactivity during this block. In- 434 creased effective connectivity between the left amygdala and a larger 435 region including VMPFC and the anterior cingulate cortex (ACC) was 436 also found in the child group during negative mood recovery. 437 Follow-up analyses revealed that age and ACC-left amygdala 438

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connectivity were positively related, suggesting the potential for increasing regulation (i.e., reducing activity) of the amygdala by the ACC with age. Consistent with IS, the results of this study provide initial support for the role of changing functional relationships between brain regions associated with emotion regulation as one progresses through childhood and suggest that additional research exploring the developing pattern of this interaction would be highly informative.

As evident in the studies reviewed above, investigations of implicit emotion regulation using facial expressions of emotion have generally used an ROI approach focusing on the amygdala. Even when the amygdala is not chosen as the only a priori region of interest, findings are generally interpreted using an "amygdalo-centric" viewpoint (i.e., focusing on the amygdala and its relationship with other regions [selected as additional ROIs] potentially connected to it). While the role of the amygdala in processing emotional stimuli is clearly established and drives this approach to study design and data analysis (Pessoa, 2008), one limitation is that other potentially developmentally important regions may be overlooked. Given that a well-established body of literature strongly suggests that processing human faces involves a network of regions (Haxby et al., 2000; Palermo and Rhodes, 2007; Vuilleumier and Pourtois, 2007), the use of this approach alone in studies of implicit emotion regulation may have significant limitations. More specifically, recent neurobiological models (see Fig. 3 for an example) have suggested that both a "core" and "extended" network of brain regions are involved in the processing of human faces. Regions within the core face-processing network include the inferior occipital, fusiform, and superior temporal sulci while the extended face-processing network is suggested to be more flexibly involved depending upon the nature of the processing required. For example, regions highly overlapping with those included in neurobiological models of emotion regulation, such as the amygdala, anterior cingulate gyrus, ventromedial/orbital prefrontal cortex, and insula are believed to play a critical role in attending to, 472 evaluating, interpreting, and reacting to facial expressions of emotion 473 (Palermo and Rhodes, 2007). Given that a developing body of neuro- 474 imaging evidence suggests that the functional specialization of re- 475 gions within these networks undergoes a prolonged period of 476 development (Cantlon et al., 2011; Cohen Kadosh et al., 2011; 477 Gathers et al., 2004; Joseph et al., 2011), as does the connectivity be- 478 tween them (Cohen Kadosh et al., 2011), the potential importance of 479 taking a broader (i.e., network) analytical approach to studies of im- 480 plicit emotion regulation using human faces is apparent.

Nevertheless, while patterns of functional activity outside of 482 preselected amygdala ROIs cannot be evaluated in many of these 483 studies, these findings suggest that the functional specialization of 484 the amygdala emerges over the course of childhood and is not func- 485 tionally isomorphic in children and adults. Further, these data suggest 486 that this structure may be differentially sensitive to attentional de- 487 mands and specific facial expressions at varying developmental 488 stages. While still in need of further study, the work of Perlman and 489 Pelphrey (2011) provides some initial evidence that the amygdala 490 may demonstrate differing patterns of reactivity and regulation de- 491 pendent upon specific mood state and development period examined 492 as well. Thus, the available data provides some support for IS predic- 493 tions regarding the changing nature of functional specialization within this region across development.

#### Explicit regulation

Explicit regulation of emotion has also been investigated in a small 497 number of studies with children. In one study by Lévesque et al. 498 (2004), young girls were shown sad film excerpts depicting the 499 death of a loved one and asked to actively suppress their emotional 500 reactions by taking the stance of a detached observer. Results from 501 the study indicated that a number of prefrontal regions were involved 502 in the active suppression of sadness in these young girls, including 503

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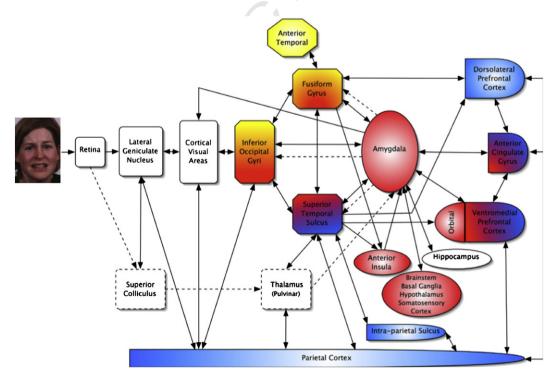


Fig. 3. A simplified neurobiological model of face processing including core- and extended face processing networks. Regions included in the core face processing network include inferior occipital gyri, fusiform gyrus, and superior temporal sulcus. The extended network is composed of regions including the amygdala, ventromedial/orbitofrontal cortices, anterior insula, and anterior cingulate gyrus (among others). Regions shaded in yellow are intended to represent those involved in processing identity and associated semantic information, those in red represent regions involved in emotion analysis, and those in blue represent regions involved in spatial attention. As can be seen in the figure, some regions are suggested to have multiple roles.

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lateral, orbital, and ventral lateral prefrontal and rostral anterior cingulate regions. Interestingly, in a previous study, this research group had used the identical procedure in a group of adult women (Levesque et al., 2003). When comparing study results the authors noted that the young girls recruited a greater number of frontal regions than adult women when suppressing their reactions to sad films. This qualitative comparison is consistent with the IS framework (i.e., fewer, more focal areas of activity in the frontal cortex as one matures). However, as with the Perlman and Pelphrey (2011) study noted above, the absence of a direct comparison between the two age groups precludes strong conclusions about the presence of developmentally sensitive patterns of functional activity during emotion regulation.

A more recent study by McRae et al. (2012) provides a clearer picture of developmental changes in functional brain activity associated with explicit emotion regulation. Using a previously validated cognitive reappraisal task, the authors examined whether brain regions identified during reappraisal demonstrated a linear or nonlinear pattern of change in functional activity across development (ages 10-23 years). The results revealed a linear relationship between chronological age and activity within the left inferior frontal gyrus (IFG), suggesting increasing IFG activity during reappraisal with age. Interestingly, the authors also identified regions within posterior cingulate, medial prefrontal, and temporal cortices that had greater activity in adolescents relative to younger and older ages. While the relationship between functional activity and reappraisal success was not examined directly, a significant positive relationship was found between reappraisal success (i.e., the difference between reported negative affect during the viewing and reappraisal of negative pictures) and age; suggesting that changes in functional activity associated with age may be related to maturation of cognitive capacity for reappraisal. Interestingly, the authors reported that a large proportion (65%) of their negative stimuli included human faces. As with the implicit studies reviewed above, it is important to note that the stimuli used in emotion regulation tasks may lead to the involvement of larger networks or regions beyond those generally considered in the cortico-limbic models of emotion regulation. Additionally, using the entire sample (i.e., children, adolescents, adults) to identify regions active during reappraisal may have prevented identifying regions active only within a specific age group. Nevertheless, when viewed through IS, the findings reported by McRae et al. (2012) are consistent with IS predictions of increasing functional specialization of regions believed to be important for the explicit regulation of emotion with age as well as the potentially dynamic nature of developmental changes within a larger network of regions.

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In sum, the normative studies of implicit and explicit emotion regulation reviewed above provide a growing body of data supporting IS predictions of increasing functional specialization of skill related processing regions with age (IS predictions 1 and 2), changing patterns of relationships between the brain regions supporting this ongoing process (IS prediction 5), and the importance of accounting for regions that demonstrate transient patterns of functional specialization (e.g., decreasing activity with age) in addition to those gradually becoming more sensitive (IS predictions 3 and 4). Given that the majority of the studies reviewed above were restricted to examining areas of developmental difference and their association with chronological age, the interactive relationships between these regions and how they may have changed with development are not clear. Thus, future research specifically targeting this area will be needed to further inform IS predictions regarding the importance of interregional communication and the development of functional specialization. Nevertheless, the currently available data does suggest that the relationship between brain development and emotion regulation is likely to be a dynamic one requiring a developmentally informed neurobiological model. Future studies of emotion regulation and 569 brain development in depression would be best served by accounting 570 for these normative patterns and using conceptual frameworks such 571 as IS to more fully inform study predictions and interpret results.

#### Emotion regulation and brain development in depression

Studying brain development and the emergence of emotion regu- 574 lation in normative samples has proven critically important for in- 575 creasing our understanding of these basic developmental processes. 576 However, it is important to note that most of these studies have 577 used a cross sectional approach and assume a known end point. 578 That is, the relationship between brain development and emerging 579 emotion regulation has been conceptualized as moving towards a 580 mature level of brain function and organization, defined using values 581 or patterns identified in young adults. While not without some limita- 582 tions (e.g., knowledge of normal individual variation), this is a 583 reasonable and useful approach to studying normative brain develop- 584 ment. However, such an approach to the study of brain development 585 in depression may be less straightforward. As suggested previously, 586 age of onset (as well as age at episode experience), may critically in- 587 fluence how networks underlying a given skill and/or function 588 emerge. For example, since brain regions involved in emotion regula- 589 tion may display differing patterns of functional activity, stimulus 590 specificity/sensitivity, and connectivity over the course of develop- 591 ment, it is likely that depression may have unique effects on brain re- 592 gions and networks supporting this skill depending upon when in 593 development it occurs. Given that age of depression onset is rarely 594 reported, cross-sectional approaches comparing pediatric and adult 595 studies of depressed individuals "side-by-side" are undoubtedly con- 596 founded by this issue and make a developmental interpretation from 597 such comparisons difficult at best and misleading at worst. Unfortu- 598 nately, studies accounting for these factors (e.g., episode onset and 599 offset, age at onset, etc.) are not readily available, leaving only a qualitative "side-by-side" comparison of emotion regulation related neu- 601 roimaging findings in pediatric and adult depression to begin 602 addressing this question. As such, we briefly discuss studies of emo- 603 tion regulation in children and adults with depression keeping these 604 limitations in mind.

#### Implicit regulation

As with normative groups, studies of implicit emotion regulation 607 in depression have generally included facial expressions of emotion. 608 Studies using this approach in depressed adults have frequently 609 reported patterns of elevated amygdala activity when comparisons 610 with age matched controls are undertaken (Fu et al., 2004; Sheline 611 et al., 2001; Whalen et al., 2002). However, in contrast to adult find- 612 ings, studies using facial expressions of emotion (most often fear) in 613 depressed children and adolescents have reported less consistent 614 findings. For example, Thomas et al. (2001a) reported a blunted 615 amygdala response during a facial recognition paradigm using fear 616 faces when comparing depressed girls to their healthy peers. In a 617 study of memory encoding, Roberson-Nay et al. (2006) reported ele- 618 vated left amygdala activation in depressed adolescents during the 619 successful encoding of emotional faces, findings which are consistent 620 with the adult literature. In a more recent study of children and ado- 621 Q7 lescents at risk for depression based on familial history (Monk et al., 622 2008), high-risk participants were found to have increased amygdala 623 (and nucleus accumbens) activity during the passive viewing of fear 624 faces when compared to their low-risk peers. Interestingly, no 625 group differences were reported during face conditions requiring an 626 explicit task (e.g., attending to nose width), suggesting that attention- 627 al demands may have played an important role in modulating amyg- 628 dala activity in each group. As with the studies of normative face 629 processing reviewed above, the use of ROI based approaches focusing 630 on the amygdala in many of these studies precludes a full 631

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interpretation of them within the IS framework. The use of multiple paradigms and wide age ranges within study groups is also a complicating factor. Nevertheless, mixed findings in depressed children, adolescents, and adults during various face processing tasks do raise the intriguing possibility that depression may affect amygdala functioning in a developmentally unique fashion dependent upon the task used and the age at which an individual is studied.

A more recent fMRI study of girls at high risk for depression due to a maternal history of the disorder used mood induction techniques to examine implicit sad mood regulation (Joormann et al., 2011). In this study, participants were asked to complete a set sequence of events while being scanned, including the recall of a positive autobiographical memory, followed by the viewing of a sad film clip (young girl dying of cancer), then sad mood elaboration, and finishing with the recall of a second positive memory. When compared with their low-risk peers, greater activity within the orbitofrontal cortex, parahippocampus/amygdala complex, and thalamus was found in the high-risk group. Conversely, low-risk girls were found to have greater activity within the anterior cingulate and dorsolateral prefrontal cortices as well as in posterior regions including the precuneus, cuneus, fusiform gyrus, and lingual gyrus. Interestingly, findings from this study suggest that reduced cortical involvement spans multiple brain regions (i.e., not just frontal regions) during implicit mood regulation in girls at high-risk for depression. However, given that this task has not been used in adults with depression or with a similar risk status, it is difficult to determine whether any of the noted differences are developmentally specific. Nevertheless, in line with an IS interpretation, the findings do raise the intriguing possibility that being at increased risk for depression is associated with disrupted functioning across a number of regions (i.e., not only frontal areas) which may play a developmentally sensitive role in implicit sad mood regulation during childhood.

#### **Explicit** regulation

In comparison to face processing, research examining explicit emotion regulation in depression has been the subject of few studies. Beauregard et al. (2006) conducted the first fMRI study of explicit emotion regulation in depressed adults. In this experiment the authors had depressed and healthy adults view film clips depicting neutral and sad events, followed by instructions to feel as they normally would or to suppress their sad feelings by distancing themselves from the material. When compared during the suppression condition, depressed adults were found to have greater activity within right dorsal anterior cingulate, right anterior temporal pole, right amygdala, and right insula. Johnstone et al. (2007) also recently used fMRI to examine explicit emotion regulation in depressed adults. Using negative and positive images, participants were asked to passively attend to the picture or downregulate their emotional response by reappraising the situation depicted within the picture. When compared to their healthy peers, depressed adults exhibited greater activation in right lateral and ventrolateral prefrontal cortices, suggesting the absence of a left-lateralized pattern of activation for these regions as seen in controls. Follow-up analyses revealed a negative relationship between VMPFC and amygdala activity during reappraisal in healthy individuals and a positive relationship between these two regions in the depressed group. Further analyses suggested that the VMPFC mediated the relationship between left ventrolateral prefrontal cortex (region identified during reappraisal) and the amygdala in healthy controls, a relationship that was absent in the depressed group. Sheline et al. (2009) also recently examined activity within the Default Mode Network (DMN; believed to be important for self referential thought) regions during cognitive reappraisal in healthy and depressed individuals. The depressed group failed to show task related decreases in a number of DMN regions (including portions of the dorsal anterior cingulate and amygdala) during reappraisal,

suggesting a failure to appropriately regulate activity within this 696 network.

To our knowledge, a recent study by Perlman et al. (2012) pro- 698 vides the only available data informing explicit emotion regulation 699 in pediatric depression. Using a cognitive reappraisal paradigm, the 700 authors reported increased activity in the right amygdala as well as 701 visual processing regions (e.g., lingual gyrus) while depressed adoles-702 cents were required to maintain their initial emotional reaction to a 703 negative image. In addition, healthy controls were found to have in- 704 creased amygdala connectivity with emotion regulation (e.g., medial 705 prefrontal cortex) and social cognition (e.g., superior temporal 706 gyrus) regions during this condition. Interestingly, a significant relationship between amygdala connectivity and poorer psychosocial 708 functioning was only present for depressed adolescents. In line with 709 IS and studies of normative explicit emotion regulation (McRae et 710 al., 2012), these findings raise the intriguing possibility that emotion 711 dysregulation may be associated with disrupted functioning across a 712 number of regions (i.e., not only frontal or limbic areas) in depressed 713 adolescents. In addition, when compared to previous studies of ex- 714 plicit emotion regulation in depressed adults (Beauregard et al., 715 2006; Johnstone et al., 2007), patterns of disrupted functioning across 716 the brain also supports the IS prediction that ongoing development of 717 functional specialization at earlier ages may be associated with more 718 variable patterns of functional disruption in depression.

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#### Summary

Given a somewhat consistent pattern of disrupted amygdala and 721 frontal area functioning during emotion regulation in depressed 722 adults, it is tempting to predict that these same regions will be the 723 only ones affected in depressed children and adolescents. However, 724 in line with IS and the normative data reviewed above, it is likely 725 that these and other regions outside of them will be uniquely 726 disrupted depending upon when in development depression occurs. 727 To some degree this IS prediction is already supported by the mixed 728 findings between pediatric and adult depression during implicit and 729 explicit emotion regulation reviewed above. For example, early visual 730 processing regions identified as differentially involved in depressed 731 or at-risk adolescents during implicit and explicit emotion regulation 732 may be more or less co-activated and/or connected with emotion reg-733 ulation regions depending when in development depressed and 734 healthy individuals are compared. However, additional longitudinal 735 research is necessary to fully explore these possibilities and impor- 736 tantly to investigate interactive developmental processes as hypothe- 737 sized by IS.

Functional brain network development and emotion regulation in 739 normative development and depression

Recent theoretical models of depression have suggested that de- 741 pression may be a disorder of distributed neural networks (Drevets 742 et al., 2008; Mayberg, 1997; Stahl, 2003); where synchronized 743 changes within and between circuits contribute to disorder onset, 744 presentation, course and remission (Mayberg and Stackman, 2010). 745 A growing body of research in children and adolescents suggests 746 that the manner in which functional brain networks are connected 747 varies with age (de Bie et al., 2011; Fair et al., 2007, 2008, 2009; 748 Fransson et al., 2011; Stevens et al., 2009). In many studies, patterns 749 of connectivity within the brain demonstrate developmental 'curves' 750 where connections between anatomically close regions weaken 751 and more distal connections strengthen, resulting in distributed 752 (i.e., across the brain) and cohesive networks that stabilize in adulthood (Dosenbach et al., 2010; Fair et al., 2008, 2009; Supekar et al., 754 2010). This pattern has also been found to coincide with developing 755 communities of regions (e.g., the Default Mode Network; Fair et al., 756 2008) that shift from anatomically based configurations to more func- 757 tionally defined groupings (Fair et al., 2009). 758

To date, the Default Mode Network (DMN), defined by brain regions that demonstrate reduced neural activity during most goal directed activities (Raichle et al., 2001), is one of the most studied in depression given its suggested importance in self-referential thought and emotion (Buckner et al., 2008; Wiebking et al., 2011). Functional connectivity (i.e., the statistically significant association of measured fMRI activity between brain regions) studies of the DMN in depressed adults have generally indicated a pattern of increased connectivity between regions that overlaps with a failure of these regions to 'deactivate' during shifts away from a rest state to an external focus of attention (Berman et al., 2010; Greicius et al., 2007; Sheline et al., 2009; Zhou et al., 2010). While there is little data available to inform functional connectivity in currently or previously depressed children and adolescents, disrupted connectivity between regions within ventral anterior portions of frontal cortex (i.e., subgenual anterior cingulate) commonly associated with the DMN and dorsal cortical regions potentially important for emotion regulation has been reported (Cullen et al., 2009; Gaffrey et al., 2010). The importance of disrupted connectivity between DMN and emotion regulation regions is particularly evident in prior work from our group suggesting that reduced functional coupling between subgenual cingulate and dorsomedial prefrontal cortex is associated with dysregulated behavioral expressions of sadness in school age children with a history of preschool depression (Gaffrey et al., 2010). More recently, our group has reported increased connectivity between posterior and pregenual cingulate DMN regions in these children as well, noting that this relationship was also associated with reduced emotion regulation ability (Gaffrey et al., in press). Interestingly, previous research suggests that the connectivity between these regions undergoes the longest period of maturation within the DMN (Supekar et al., 2010), raising the possibility that the early experience of depression alters the normative developmental trajectory of this relationship. Whether or not disrupted connectivity in currently or previously depressed children represents a predisposing trait for- or scar from the experience of depression is still an open question. However, the above noted findings raise the intriguing possibility that a history of depression during childhood or adolescence is associated with altered patterns of functional connectivity between regions commonly associated with neurobiological models of the emotion regulation and default mode functioning.

#### Summary

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The changing nature of functional connectivity in normative brain development matches the IS prediction that regions with similar patterns of stimulus or task responsivity will gradually integrate into specialized networks (IS predictions 4 and 5). In addition, and in line with IS, a prolonged period of functional network development suggests that this process is open to environmental influence (see The brain as a complex self-organizing system above for greater detail). Currently available data in depressed children and adolescents suggests that the early experience of this disorder may involve patterns of both increased and decreased connectivity. As would be predicted by IS, the early experience of depression has been associated with increased connectivity between regions suggested to be important for self-referential thought and emotion (i.e., DMN) and decreased connectivity between regions believed to be important for emotion and its regulation (IS prediction 5). However, it should be noted that future longitudinal research is necessary to replicate these findings and disentangle the causative relationship between disrupted functional connectivity, network development, and depression.

#### Summary

Within this article we have raised the suggestion that there is a need for a guiding theory relating brain development, emerging abilities, and depression. In support of this suggestion, we discussed 822 that such a theory would fit within a broader framework emphasizing 823 the necessity of a multilevel approach to the study of depression, 824 namely developmental psychopathology, and that it would extend 825 related principles already incorporated within this framework. As 826 such, we proposed that the Interactive Specialization (IS) approach 827 to post natal brain development put forth by Johnson (2000, 2001, 828 2011) provides a useful framework to achieve this goal. In support 829 of the IS framework we conducted a selective review of research ex-830 amining the relationship between brain development and emotion 831 regulation, an area of functioning central to depression 832 (Campbell-Sills and Barlow, 2007), in both healthy and depressed individuals. As predicted by IS, studies of healthy individuals suggested 834 that the normative relationship between brain development and 835 emotion regulation was far from a uniformly linear process, with var-836 iability both within and across age groups as the norm rather than the 837 exception. More specifically, studies of both implicit and explicit 838 emotion regulation suggest that brain regions are differentially in- 839 volved depending upon the task used and the age of the individual 840 studied. Further, the nature of activity and functional specialization 841 within these regions demonstrates patterns of progressive, recessive, 842 and transient change with age, and that the interactions (i.e., connec-843) tivity) between regions undergo similar transitions as well.

A greater emphasis on the potential synergy of understanding 845 normative as well as atypical patterns of brain development and emotion regulation may help move forward our understanding of the 847 neurodevelopmental trajectory of depression. This is of critical im-848 portance given the increasing recognition of depression as a neurodevelopmental disorder (Bale et al., 2010). As briefly reviewed 850 above, qualitative comparisons of pediatric and adult depressed samples using a similar or dissimilar approach have dominated discussions of brain development in depression. While the identification 853 of developmental differences represents a logical starting point for in-854 cluding development in neurobiological models of depression, it provides little insight into the interaction between normative patterns of 856 brain development and the occurrence of depression during this process. With these limitations in mind, we conclude with a discussion of 858 future directions below.

#### Future directions

At the most basic level, interpreting whether neuroimaging find- 861 ings from a clinical group are deviant or disorder specific is depen- 862 dent upon an understanding of what the expected "normative" 863 values or patterns should be. Examinations of this type allow for 864 some level of understanding of what may be characteristically differ- 865 ent in one group when compared to another at a specific age. Howev- 866 er, this type of comparison does not allow for one to fully capture the 867 ontological nature of these differences. That is, it does not address 868 whether identified differences are representative of a deviant trajec- 869 tory of development for a given brain region(s) or network(s), a 870 delay of the expected normative pattern of development, or a pattern 871 of normative development followed by deviation. Critically, the dis-872 tinction of deviant, delayed, or some combination thereof can only 873 be answered in light of data informing the normative brain develop- 874 ment process. Thus, future work examining normative patterns of 875 brain development using longitudinal methods is needed to establish 876 a foundation for studies of depression and developmental psychopa- 877 thology more broadly.

As stated in the Introduction, there is a pressing need to place 879 normative developmental principles into the developmental study 880 of depression related neurobiology. If one examines the regions 881 commonly shared in neurobiological models of depression this bescomes even more apparent. While continually being refined, these 883 models have consistently implicated both cortical and subcortical 884 regions as critical to the phenomenology of depression. However, 885

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little attention has been paid to the differing patterns of maturation for each region and the potential influence this may have on the developing patterns of functional interaction between them. When one considers the framework for these models, research in depressed adults, this is understandable. However, as our understanding of normative brain development is rapidly advancing, their direct application to depression across the lifespan needs to be reconsidered. This is not to suggest that current models should be discarded but, rather, modified in the light of this growing body of evidence for developmental variation.

We believe that the extant literature examining brain function and organization in depression suggests the very real need for longitudinal studies of brain development in this disorder (Hulvershorn et al., 2011; Monk, 2008). This is made even more apparent considering the increasing consensus that depression is indeed a neurodevelopmental disorder (Bale et al., 2010). When and how to begin longitudinal studies examining the neurobiology of depression is the next logical question. One straightforward approach is to identify the earliest known form of depression and begin prospectively following this group forward. A condition that we have commonly referred to as Preschool-Onset Depression (POD) is a clinically significant and valid depressive syndrome in preschool age children, with established findings of symptom specificity (Luby et al., 2002), familial transmission (Luby et al., 2006), biological correlates (Luby et al., 2003), impairment across multiple contexts (Gaffrey et al., 2011a; Luby et al., 2009) and, more recently, alterations in functional brain activity (Gaffrey et al., 2010, 2011b, in press). Thus, longitudinal studies of brain function and organization in this early occurring form of depression are likely to provide unique information about early endophenotypes of depression, provide further insight into the neurobiological continuity between pediatric and adult depression, and may promise to identify developmentally informed critical periods when intervention efforts may be more effective for preventing the future occurrence of MDD. In addition, another logical population to study is children who are at risk for depression by virtue of a familial history of depression, as studies have shown that these children are at an increased risk for the development of depression (Goodman and Gotlib, 1999). This population would provide an interesting comparison group to children with POD, as they would allow us to begin to understand the potentially unique roles that risk for and the early occurrence of depression have on the developmental trajectory of brain function and skill emergence as well as subsequent relationships between these effects and later outcomes. Such studies are needed to disentangle the effects of an early episode of depression from endophenotypic changes that might be present prior to a clinical episode within the IS model. Indeed, these comparisons may be key to investigations of the interactive processes as hypothesized by the IS model.

In line with a developmental psychopathology perspective (Cicchetti and Curtis, 2006), future longitudinal studies of brain development in depression should take a multilevel and integrated approach, recognizing that depression is a complex disorder likely involving the influence of many genes as well as epigenetic mechanisms. For example, a developing body of literature has suggested that the influence of stressful life events on depression onset may be dependent upon an individual having a specific genetic risk (e.g., 5HTTLPR risk allele; Caspi et al., 2003; Karg et al., 2011). Research has also demonstrated that depression guides some individuals towards specific experiences, such as interpersonal conflict, that further contribute to presentation and course (Rudolph, 2008; Rudolph et al., 2000). Importantly, the types of experiences likely to influence brain development may be specific to a developmental period of interest as well, such as parenting (Belsky and de Haan, 2011) and stressful experiences early in life (Casey et al., 2011). As such, it is important to keep in mind that both genes and environment have a hand in guiding brain development and that including these factors will be critical for developing a fully integrat- 952 ed neurobiological model of depression.

**Conclusions** 954

In conclusion, the increasing use and sophistication of neuroimag- 955 ing techniques have been instrumental in furthering our understanding of the developing brain. It has revealed a normative pattern of 957 brain development that is both dynamic and highly complex. The po- 958 tential for this work to contribute to our understanding of depression 959 across the life course is considerable. However, as discussed above, 960 the use of a guiding theoretical framework to inform study design 961 and hypothesis generation is necessary to fully tap this potential. 962 Given its fit within a broader developmental psychopathology per- 963 spective and emphasis on the relationship between neural network 964 development and skill emergence, we proposed that the Interactive 965 Specialization approach (Johnson, 2011) appears ideally suited for 966 this purpose. As indicated in the final section of this review, longitu- 967 dinal studies of brain development in depression are now needed to 968 capitalize on this growing momentum and to provide a deeper under- 969 standing of how the mechanisms that give rise to depression manifest 970 across the lifespan. It is our hope that such studies will eventually 971 lead to a more complete neurobiological model of depression and 972 generate developmentally sensitive approaches to treatment and 973 prevention that reduce the burden of this impairing disorder.

#### Acknowledgments

Psychiatry 68, 314-319

The authors have no financial interest(s) or conflicts to disclose.

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Please cite this article as: Gaffrey, M.S., et al., Towards the study of functional brain development in depression: An Interactive Specialization approach, Neurobiol. Dis. (2012), doi:10.1016/j.nbd.2012.06.012

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